

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Van Damme et al.

Application No. Unassigned

Filed: January 23, 2004

For: HEAT-SENSITIVE LITHOGRAPHIC PRINTING PLATE  
PRECURSOR

Art Unit: Unassigned

Examiner: Unassigned

**CLAIM OF PRIORITY**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 35 USC 119, Applicants claim the priority of the following application:

Application No. 03100155.5, filed in Europe on January 27, 2003.

A certified copy of the above-listed priority document is enclosed.

Respectfully submitted,



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Date: January 23, 2004

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**Attestation**

Die angehefteten Unterla-  
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The attached documents  
are exact copies of the  
European patent application  
described on the following  
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Les documents fixés à  
cette attestation sont  
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la demande de brevet  
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page suivante.

**Patentanmeldung Nr. Patent application No. Demande de brevet n°**

**03100155.5**

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
p.o.

**R C van Dijk**

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Anmelder/Applicant(s)/Demandeur(s):

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BELGIQUE

Bezeichnung der Erfindung/Title of the invention/Titre de l'invention:  
(Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung.  
If no title is shown please refer to the description.  
Si aucun titre n'est indiqué se referer à la description.)

H at-sensitive lithographic printing plate precursor

In Anspruch genommene Priorität(en) / Priority(ies) claimed /Priorité(s)  
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Staat/Tag/Aktenzeichen/State/Date/File no./Pays/Date/Numéro de dépôt:

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**[ABSTRACT]**

## HEAT-SENSITIVE LITHOGRAPHIC PRINTING PLATE PRECURSOR

5       A positive working printing plate precursor is disclosed which  
comprises a hydrophilic support and a coating provided thereon which  
comprises in the order given a first layer containing an oleophilic  
resin soluble in an aqueous alkaline developer and a second layer  
comprising a water repellent-compound. Furthermore, the coating  
10       comprises an infrared absorbing dye containing a polysiloxane group  
providing a printing plate precursor with high sensitivity.

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**[DESCRIPTION]****FIELD OF THE INVENTION**

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The present invention relates to a positive working lithographic printing plate precursor that requires aqueous alkaline processing and comprises an infrared absorbing dye containing a polysiloxane group.

10

**BACKGROUND OF THE INVENTION**

Lithographic printing presses use a so-called printing master such as a printing plate which is mounted on a cylinder of the printing press. The master carries a lithographic image on its surface and a print is obtained by applying ink to said image and then transferring the ink from the master onto a receiver material, which is typically paper. In conventional lithographic printing, ink as well as an aqueous fountain solution (also called dampening liquid) are supplied to the lithographic image which consists of oleophilic (or hydrophobic, i.e. ink-accepting, water-repelling) areas as well as hydrophilic (or oleophobic, i.e. water-accepting, ink-repelling) areas. In so-called driographic printing, the lithographic image consists of ink-accepting and ink-abhesive (ink-repelling) areas and during driographic printing, only ink is supplied to the master.

Printing masters are generally obtained by the so-called computer-to-film method wherein various pre-press steps such as typeface selection, scanning, color separation, screening, trapping, layout and imposition are accomplished digitally and each color selection is transferred to graphic arts film using an image-setter. After processing, the film can be used as a mask for the exposure of an imaging material called plate precursor and after plate processing, a printing plate is obtained which can be used as a master.

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A typical printing plate precursor for computer-to-film methods comprise a hydrophilic support and an image-recording layer of a photosensitive polymer layers which include UV-sensitive diazo compounds, dichromate-sensitized hydrophilic colloids and a large variety of synthetic photopolymers. Particularly diazo-sensitized systems are widely used. Upon image-wise exposure, typically by means of a film mask in a UV contact frame, the exposed image areas become insoluble and the unexposed areas remain soluble in an aqueous alkaline developer. The plate is then processed with the developer to remove the diazonium salt or diazo resin in the unexposed areas. So the exposed areas define the image areas (printing areas) of the printing master, and such printing plate precursors are therefore called 'negative-working'. Also positive-working materials, wherein the exposed areas define the non-printing areas, are known, e.g. plates having a novolac/naphtoquinone-diazide coating which dissolves in the developer only at exposed areas.

In addition to the above photosensitive materials, also heat-sensitive printing plate precursors are known. Such materials offer the advantage of daylight stability and are especially used in the so-called computer-to-plate method wherein the plate precursor is directly exposed, i.e. without the use of a film mask. The material is exposed to heat or to infrared light and the generated heat triggers a (physico-)chemical process, such as ablation, polymerization, insolubilization by cross-linking of a polymer or by particle coagulation of a thermoplastic polymer latex, and solubilization by the destruction of intermolecular interactions.

US 5,466,557 describes a positive-working printing plate precursor which is sensitive to both ultraviolet (UV) and infrared (IR) light but not to visible light, comprising a support and a coating comprising an oleophilic polymer that is soluble in an aqueous alkaline developer and a latent Bronsted acid.

EP-A 864420 describes a positive-working heat-sensitive printing plate precursor comprising a support, a first layer containing an oleophilic polymer that is soluble in an aqueous alkaline developer and an IR-sensitive top layer of which the

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penetrability by or solubility in the aqueous alkaline developer is changed upon exposure to IR light.

WO 97/39894 describes a positive-working heat-sensitive printing plate precursor which is sensitive to IR light but not to  
5 UV light comprising a support and an IR-sensitive coating comprising an oleophilic polymer that is soluble in an aqueous alkaline developer and a dissolution inhibitor which reduces the solubility of the polymer in the developer.

WO99/21725 and WO99/21715 describe a positive-working heat  
10 sensitive printing plate precursor of which the coating comprises a compound which increases the developer resistance thereof. Said compound is selected from the group of poly(alkylene oxide), siloxanes and esters or amides of polyhydric alcohols.

US 5 491 046 describes a method for imaging a positive and/or  
15 negative lithographic printing plate precursor wherein the imaging layer comprises a resole resin, a novolac resin, a latent Bronsted acid and an IR absorber.

EP 1 162 078 describes an image formation material comprising a substrate and an image formation layer on the substrate which  
20 contains an infrared absorption dye having at least one surface orientation group as substituent for the purpose of improving sensitivity and/or image forming property of the image formation material.

The major problems associated with the prior art materials is  
25 (i) the low differentiation between the development kinetics of exposed and non-exposed areas - i.e. the dissolution of the exposed coating in the developer is not completely finished before the unexposed coating also starts dissolving in the developer - and (ii) thermal diffusion of heat into the substrate resulting in a reduced  
30 sensitivity of the printing plate precursor. This leads to low quality prints showing unsharp edges and toning (ink-acceptance in exposed areas) and narrow development latitude.

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## SUMMARY OF THE INVENTION

It is an object of the present invention to provide a positive-working lithographic printing plate precursor which shows a high differentiation between exposed and non-exposed areas and which has a high sensitivity. These objects are realized by the material in claim 1 and by the specific embodiments in the dependent claims. By providing a water-repellent compound in the second layer of the coating and by the use of infrared absorbing dyes comprising specific substituents which increase the compatibility of the dye with the second layer of the coating the heat created during infrared exposure is concentrated in the second layer.

## DETAILED DESCRIPTION OF THE INVENTION

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The lithographic printing plate precursor of the present invention contains a support having a hydrophilic surface and a coating provided thereon. The coating comprises at least two layers, designated herein as first and second layer, the first layer being closest to the support, i.e. located between the support and the second layer. The printing plate precursor is positive-working, i.e. after exposure by light and development the exposed areas of the coating are removed from the support and define hydrophilic (non-printing) areas, whereas the unexposed coating is not removed from the support and defines an oleophilic (printing) area. The second layer is believed to act as a barrier that prevents penetration of the aqueous alkaline developer into the oleophilic resin of the first layer at unexposed areas. At exposed areas, the barrier function of the second layer can be reduced due to the exposure and dissolution of the coating at those areas can be increased upon immersion in an aqueous alkaline developer. This reduction of the barrier function of the second layer upon exposure can be tested e.g. by measuring the water uptake, due to swelling of the oleophilic resin, of an exposed and a non-exposed sample: typically, the exposed sample absorbs a small amount of water whereas the

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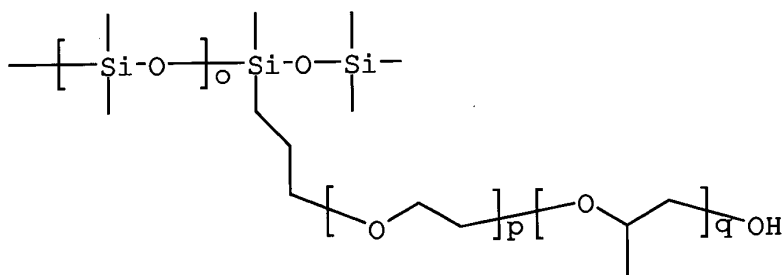
average water-uptake of non-exposed samples is within statistical error not different from zero.

The barrier function of the second layer arises from the presence of a water-repellent compound. Suitable examples thereof are polymers comprising siloxane and/or perfluoroalkyl units or block- or graft-copolymers comprising a poly- or oligo(alkylene oxide) block and a block of poly- or oligosiloxane and/or perfluoroalkyl units. The water-repellent polymer may be present in an amount of e.g. between 0.5 and 15 mg/m<sup>2</sup>, preferably between 0.5 and 10 mg/m<sup>2</sup>, more preferably between 0.5 and 5 mg/m<sup>2</sup> and most preferably between 0.5 and 2 mg/m<sup>2</sup>. Higher or lower amounts are also suitable, depending on the hydrophobic/oleophobic character of the compound. When the water-repellent polymer is also ink-repelling, higher amounts than 15 mg/m<sup>2</sup> can result in poor ink-acceptance of the non-exposed areas. An amount lower than 0.5 mg/m<sup>2</sup> on the other hand may lead to an unsatisfactory development latitude: development of the exposed areas is not completed before the start of the development of the non-exposed areas.

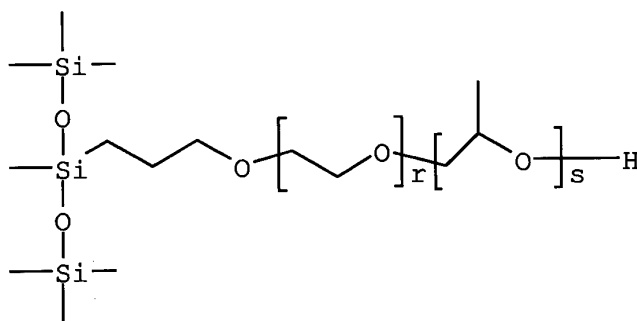
The block comprising the siloxane and/or perfluoroalkyl units may be a linear, branched, cyclic or complex cross-linked polymer or copolymer. The perfluoroalkyl unit is e.g. a -(CF<sub>2</sub>)- unit. The number of such units may be larger than 10, preferably larger than 20. The term polysiloxane compound shall include any compound which contains more than one siloxane group -Si(R,R')-O-, wherein R and R' are optionally substituted alkyl or aryl groups. Preferred siloxanes are phenylalkylsiloxanes and dialkylsiloxanes, e.g. phenylmethylsiloxanes and dimethylsiloxanes. The number of siloxane groups -Si(R,R')-O- in the (co-)polymer is at least 2, preferably at least 10, more preferably at least 20. It may be less than 100, preferably less than 60. The alkylene-oxide block preferably includes units of the formula -C<sub>n</sub>H<sub>2n</sub>-O- wherein n is preferably an integer in the range 2 to 5. The moiety -C<sub>n</sub>H<sub>2n</sub>- may include

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straight or branched chains. The alkylene-oxide block moiety may also comprise optional substituents. Preferred embodiments and explicit examples of such polymers have been disclosed in WO99/21725. A suitable water-repellent polysiloxane compound is preferably a random or block-copolymer comprising siloxane and alkyleneoxide groups, suitably comprising about 15 to 25 siloxane units and 50 to 70 alkyleneoxide groups. Preferred polysiloxanes include a copolymer of dimethyldichlorosilane, ethylene oxide and propylene oxide. Specific compounds are the following:



(I)



(II)

wherein o, p, q, r and s are integers >1.

In formula I, a poly(alkylene oxide) block consisting of ethylene oxide and propylene oxide units is grafted to a polysiloxane block. In formula II, long chain alcohols consisting of ethylene oxide and propylene oxide units are grafted to a trisiloxane group.

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The second layer may contain the oleophilic resin as well as the water-repellent compound. However, it is believed that block- or graft-copolymers comprising a poly- or oligo(alkylene oxide) block and a block of poly- or oligosiloxane and/or perfluoroalkyl units due to their bifunctional structure, position themselves during coating at the interface between the coating solution and air and thereby automatically form a separate layer, corresponding to the second layer of the present invention, even when applied as an ingredient of the coating solution of the oleophilic layer.

Alternatively, the water-repellent compound can be applied in a second solution, coated on top of the first layer. In that embodiment, it may be advantageous to use a solvent in the second coating solution that is not capable of dissolving in the ingredients present in the first layer so that a phase of highly concentrated water-repellent polymer is obtained at the top of the material.

Whilst the applicants do not wish to be limited by any theoretical explanation of how their printing plate precursor operates, it is believed that the spreading of the second layer on the first layer is reduced by the exposure, e.g. by 'thermal dewetting', i.e. heat-induced decrease of the surface tension of the polysiloxane, to such an extent that the second layer breaks up, thereby forming an incomplete layer which can no longer shield the first layer from the developer completely. Rubbing with a cotton pad also removes a sufficient amount of the polysiloxane to trigger development. The removal of the polysiloxane by rubbing can be measured e.g. by comparing the ratio of the siloxane <sup>1</sup>H-NMR signals versus the signals of the phenolic resin of a sample before and after rubbing.

The oleophilic resin is preferably a polymer that is soluble in an aqueous developer, more preferably an aqueous alkaline developing solution with a pH between 7.5 and 14. Preferred polymers are phenolic resins e.g. novolac, resoles, polyvinyl phenols and carboxy substituted polymers. Typical examples of these polymers are described in DE-A-4007428, DE-A-4027301 and DE-A-4445820.

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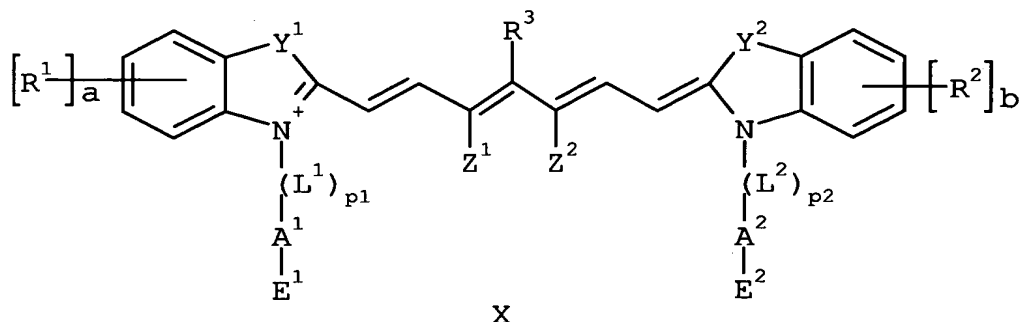
The coating comprises an IR dye containing a polysiloxane group, which sensitizes the material to the IR light used during the exposure. The polysiloxane group can be linear, branched, cyclic or complex cross-linked. The number of silicium atoms in the polysiloxane group may be greater than 2, preferably 4 or greater than 4. Preferred siloxane groups  $-\text{Si}(\text{R},\text{R}')-\text{O}-$  are groups wherein R and R' are optionally substituted alkyl or aryl groups. Preferred siloxane groups are phenylalkylsiloxanes and dialkylsiloxanes, e.g. phenylmethylsiloxanes and dimethylsiloxanes. The IR dye is preferably a compound having an absorption maximum in the wavelength range between 750 and 1500 nm, so that a daylight stable material is obtained which can be handled without the need for darkroom conditions. Daylight stable material means that no substantial dissolution in the developer is induced by exposure to visible light.

The sensitizing dye may be present in the first layer, in the second layer discussed above or in an optional other layer. It is believed that the high hydrophobicity of the polysiloxane group comprised in the IR light absorbing dye makes the dye more compatible with the water-repellent compound and thereby promotes the tendency of the dye to position itself preferentially in the second layer, i.e. further away from the support. As a result, the heat generated by the exposure is concentrated in the second layer and a high sensitivity is observed. The concentration of the IR absorbing compound in the coating is typically between 0.25 and 10.0 wt %, more preferably between 0.5 and 7.5 wt %.

Preferred IR absorbing compounds for use in this invention are represented by the general formula III:



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wherein

5

a and b each independently represent an integer from 0 to 4;

10

$-L^1-$  and  $-L^2-$  independently represent a divalent linking group such as alkylene, arylene, heteroarylene,  $-(CH_2)_t-O-$ ,  $-(CH_2)_t-NH-$ ,  $-(CH_2)_t-COO-$ ,  $-(CH_2)_t-COO-(CH_2)_u-$ ,  $-(CH_2)_t-OCO-$ ,  $-(CH_2)_t-OCO-(CH_2)_u-$ ,  $-(CH_2)_t-CONH-$  or  $-(CH_2)_t-CONH-SO_2-$ , or combinations thereof;

15

$-E^1$  and  $-E^2$  independently represent a neutral, anionic or cationic terminal group selected from

alkyl,  $-H$ ,  $-OH$ ,  $-Cl$ ,  $-Br$ ,  $-F$ ,  $-SiR^aR^bR^c$  (neutral groups);

$-SO_3^-$ ,  $-SO_4^-$ ,  $-PO_3^{2-}$ ,  $-PO_4^{2-}$ ,  $-COO^-$  (anionic groups)

$-[NR^dR^eR^f]^+$  (cationic group);

$R^a$ ,  $R^b$  and  $R^c$  independently represent an optionally substituted alkyl, alkenyl, aryl or aralkyl group

20

$R^d$ ,  $R^e$  and  $R^f$  independently represent a hydrogen atom or an optionally substituted alkyl, alkenyl, aryl or aralkyl group;

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$-A^1-$  and  $-A^2-$  independently represent  $-[Si(R^g R^h)-O]_m-$ ,  $-C_v F_{2v}-$ ,  
 $-[(CF_2)_2-O]_w-$ , an optionally substituted alkyl, alkenyl, aryl or  
 aralkyl group;

5  $R^g$  and  $R^h$  independently represent an optionally substituted alkyl,  
 alkenyl, aryl or aralkyl group,  $-[O-Si(R^a R^b)]_q-E^1$  or  
 $-[O-Si(R^a R^b)]_q-E^2$ ;

with  $p_1$  and  $p_2 = 0$  or  $1$ ;

10 with  $t$ ,  $u$ ,  $q$  and  $m = 1$  or an integer greater than  $1$ ;  
 with  $v$  and  $w = 2$  or an integer greater than  $2$ ;

$-Y^1-$  and  $-Y^2-$  independently represent one or two non-metallic atoms,  
 which may be substituted, necessary to complete a 5- or 6-membered  
 15 heterocyclic ring;

$-Z^1-$  and  $-Z^2-$  each independently represent a hydrogen atom, an alkyl  
 group or the necessary atoms to complete a 5- or 6-membered ring;

20  $R^1$  and  $R^2$  each independently represent a hydrogen atom, an  
 optionally substituted alkyl, alkenyl, aryl or aralkyl group or a  
 group selected from a halogen atom,  $-NO_2$ ,  $-O-R^i$ ,  $-CO-R^i$ ,  $-CO-O-R^i$ ,  
 $-O-CO-R^i$ ,  $-CO-NR^i R^j$ ,  $-NR^i R^j$ ,  $-NR^i-CO-R^j$ ,  $-NR^i-CO-O-R^j$ ,  $-NR^i-CO-NR^j R^k$ ,  
 $-SR^i$ ,  $-SO-R^i$ ,  $-SO_2-R^i$ ,  $-SO_2-O-R^i$ ,  $-SO_2NR^i R^j$ , a perfluoroalkyl group  
 25 or a polysiloxane group; each of said groups may optionally comprise  
 a terminal group  $E$  defined above as  $-E^1$  and  $-E^2$  and/or wherein two  
 adjacent groups selected from  $R^1$ ,  $R^2$ ,  $-Y^1-$  and  $-Y^2-$  together form an  
 optionally substituted 5- or 6- membered ring;

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$R^i$ ,  $R^j$  and  $R^k$  independently represent a hydrogen or an optionally substituted alkyl, alkenyl, aryl or aralkyl group;

$R^3$  represents a substituent selected from a hydrogen, a halogen atom, an alkyl, alkenyl, aryl or aralkyl group, a perfluoroalkyl group, a polysiloxane group, an amino group, a thioalkyl group, a thioaryl group, an aryloxy group, an alkoxy group, a barbituric group or a thiobarbituric group, each of said groups being optionally substituted;

10

X represents one or more optional counter ions selected from:  $Cl^-$ ,  $Br^-$ ,  $I^-$ ,  $F^-$ ,  $D-SO_3^-$ ,  $D-SO_4^-$ ,  $D-PO_4^{2-}$ ,  $D-PO_3^{2-}$ ,  $D-COO^-$ ,  $D-[NR^oR^pR^q]^+$ ,  $ClO_4^-$  or  $BF_4^-$ ;

D represents  $Si(R^rR^sR^t)-[O-Si(R^uR^v)]_n-$ ,  $[(R^rR^sR^t)SiO]_3-Si-$ ,  $C_jF_{2j+1}-$ ,  $CF_3-[(CF_2)_2O]_i-$ , an alkyl group, an aryl group or a substituted aryl group;

with n and i = 1 or an integer greater than 1;  
with j = 3 or an integer greater than 3;

20  $R^o$ ,  $R^p$ ,  $R^q$ , independently represent a hydrogen atom or an optionally substituted alkyl, alkenyl, aryl or aralkyl group;

$R^r$ ,  $R^s$ ,  $R^t$ ,  $R^u$  and  $R^v$  independently represent an optionally substituted alkyl, alkenyl, aryl or aralkyl group;

25 with the proviso that for the general formula III above at least one of the following substituents is represented by a polysiloxane group:  $-A^1-$ ,  $-A^2-$ ,  $R^1$ ,  $R^2$ ,  $R^3$  or X.

30 In the embodiment wherein the IR light absorbing dye carries a negative or positive electrical charge, a counter ion X with opposite charge is required to neutralize the compound. The dye can

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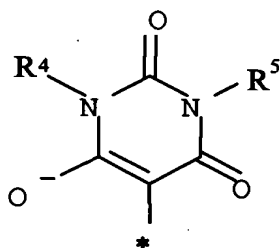
be anionic or cationic as the chromophore and/or polysiloxane containing substituents on the chromophore and/or other substituents on the chromophore can have a charge. The unit of charge of the dye is determined by the sum of the positive and/or negative charges of the substituents on the dye and one or more counter ions with equal sum of opposite charge is present to neutralize the dye. The unit of charge of the counter ions can be mono or multiple and/or positive or negative.

10 In the embodiment wherein  $-E^1$  and  $-E^2$  represent a neutral terminal group such as alkyl,  $-H$ ,  $-OH$ ,  $-F$  or  $-SiR^aR^bR^c$  (and no other charged groups are present on the IR light absorbing dye), a counter ion  $X$  carrying a negative charge is present to neutralise the positively charged IR light absorbing dye. If, on the other hand one of the terminal groups is represented by an anionic group such as  $-SO_3^-$ ,  $-SO_4^-$ , or  $-COO^-$  (and the other terminal group is represented by a neutral group and no other charged groups are present on the IR light absorbing dye) no counter ion is required. One of the terminal groups can be a cationic group such as  $-[NR^aR^bR^c]^+$ , than one counter ion carrying two negative charges (e.g.  $D-PO_4^{2-}$ ,  $D-PO_3^{2-}$ ) or two counter ions each carrying one negative charge (e.g.  $Cl^-$ ,  $Br^-$ ,  $I^-$ ,  $F^-$ ,  $D-SO_3^-$ ,  $D-SO_4^-$ ,  $D-COO^-$ ,  $ClO_4^-$  or  $BF_4^-$ ) are present. Depending on the kind of the terminal groups  $-E^1$  and  $-E^2$  and their unit of charge, none, one or more counter ions are necessary to neutralise the IR light absorbing dye.

30 In the embodiment wherein  $R^3$  represents a substituent carrying a negative charge, e.g. a negatively charged barbituric group, and no other substituents carrying a positive or negative charge are present on the IR light absorbing dye, a Zwitterion where the sum of charge of the dye is zero is obtained and no counter ion is present.

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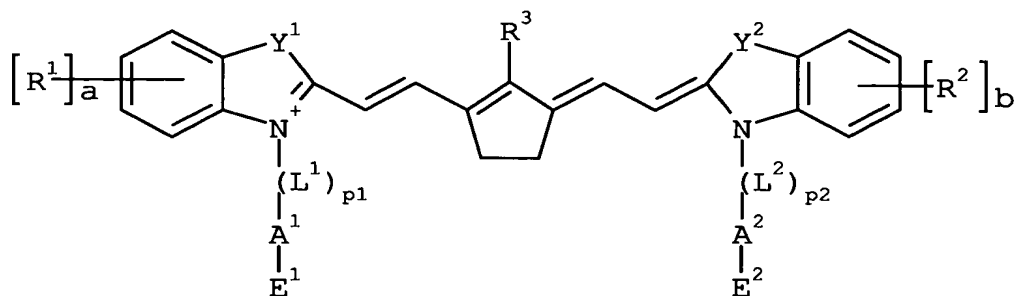
Negatively charged barbituric group:



wherein  $R^4$  and  $R^5$  independently represent an optionally substituted alkyl, alkenyl, cycloalkyl, aryl or aralkyl group, a perfluoroalkyl group or a polysiloxane group; each of said groups may optionally comprise a terminal group E defined above as  $-E^1$  and  $-E^2$ . The negatively charged barbituric group is bonded to the heptamethine group by \*.

10

Suitable subclasses of the above IR light absorbing dyes are represented by the following formulae:

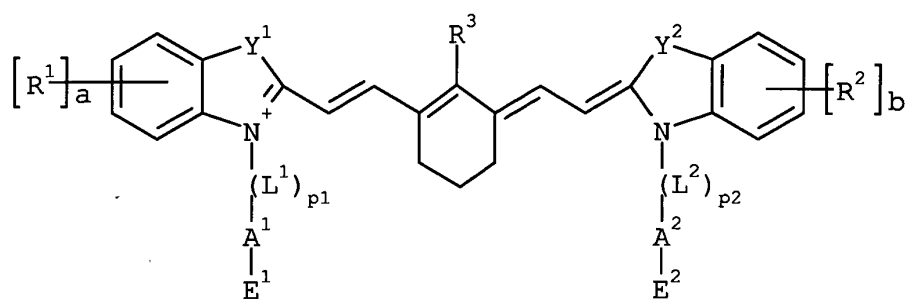


X

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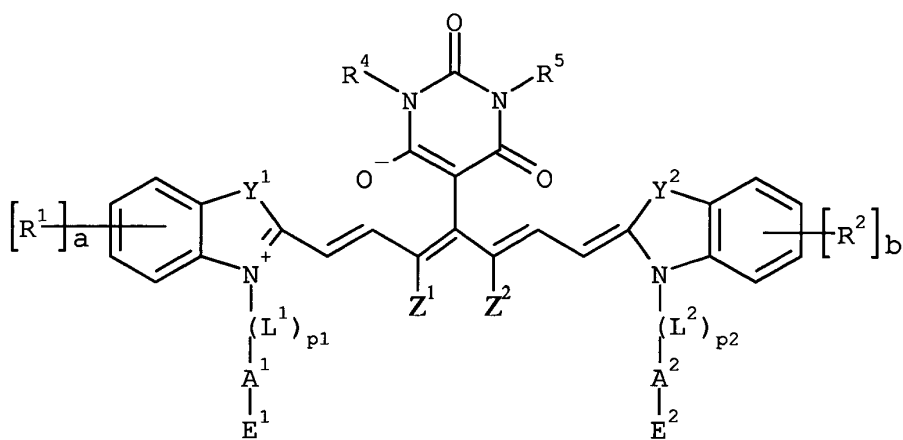
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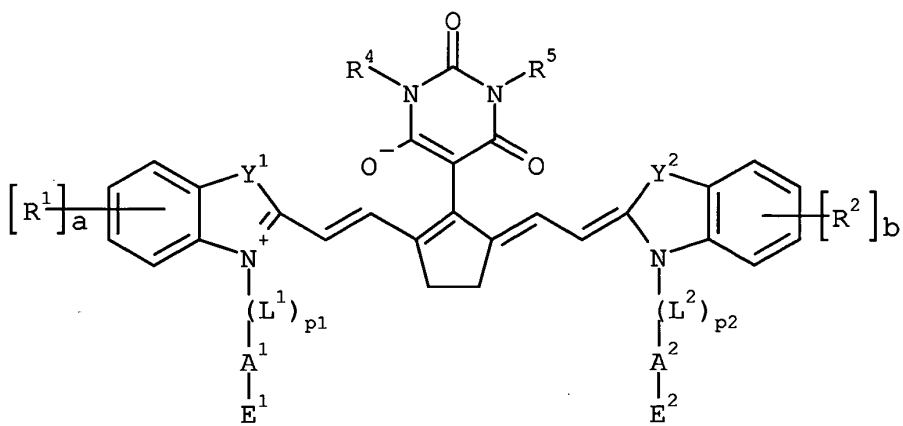
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(V)



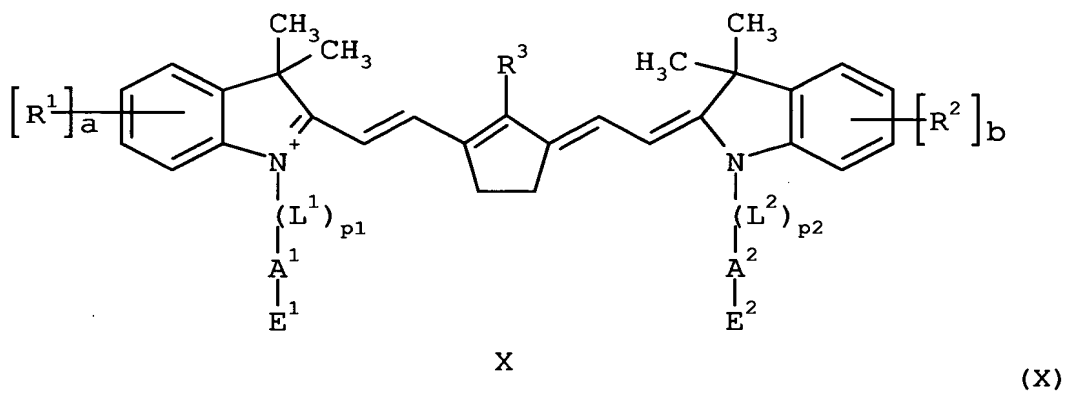
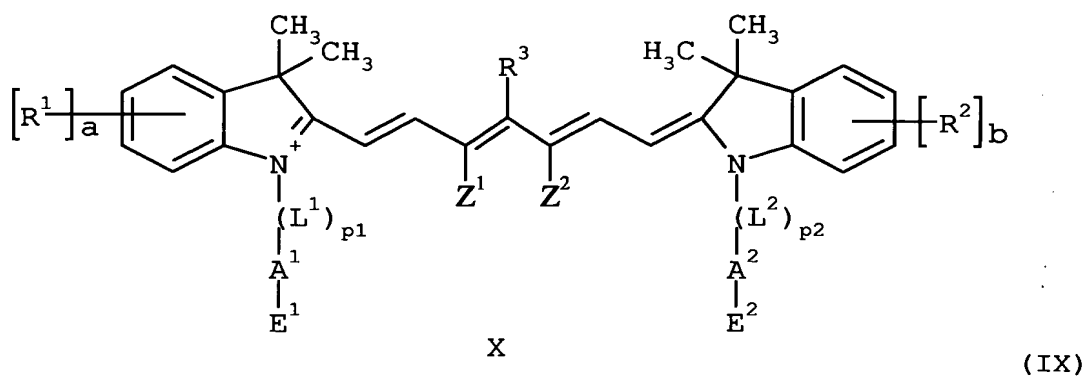
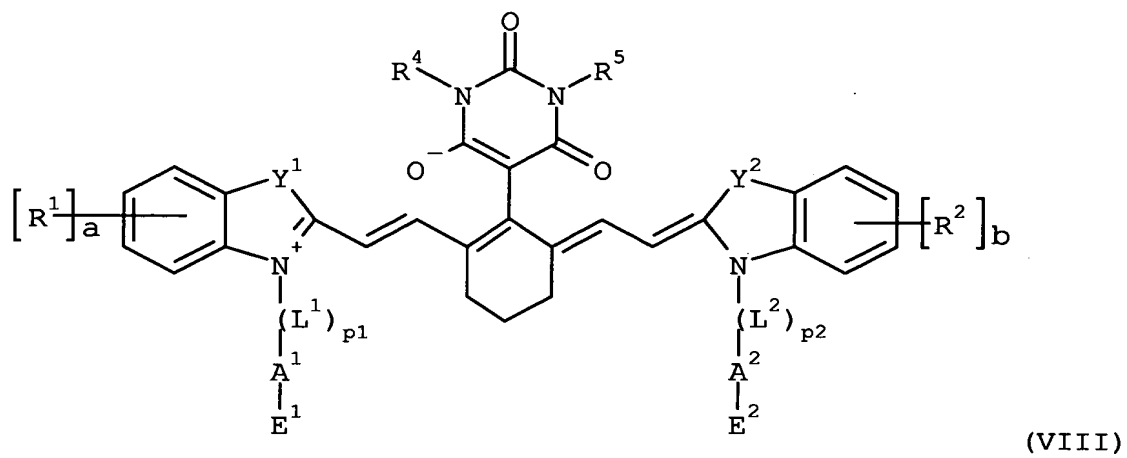
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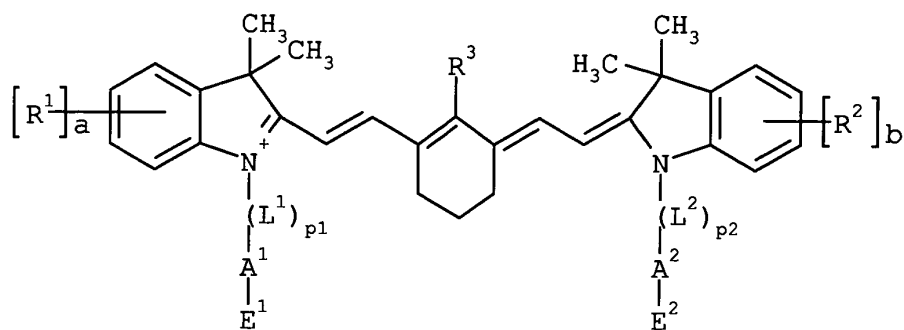


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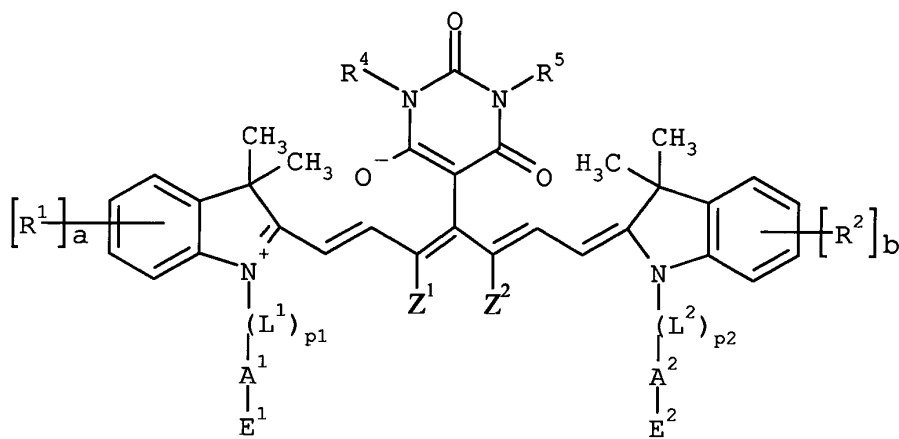


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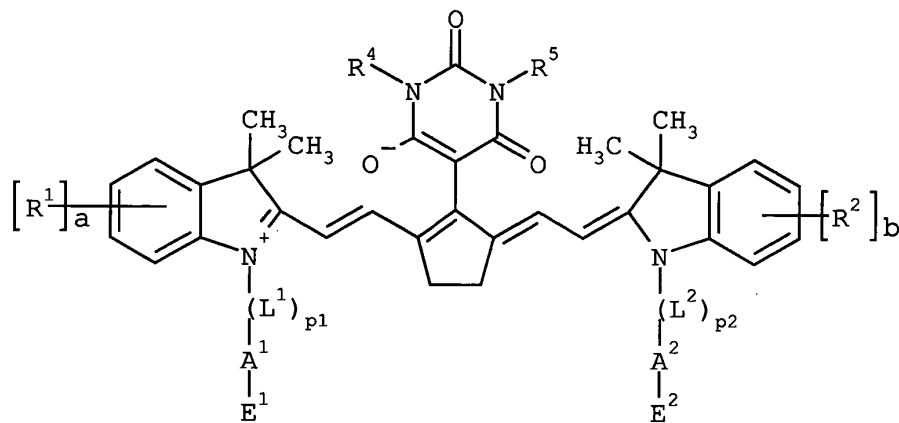


X

(XI)



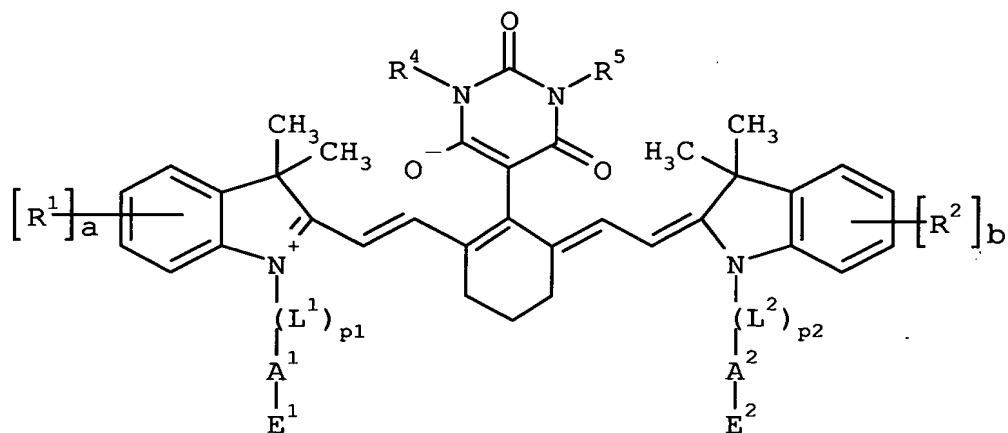
(XII)



(XIII)



- 18 -



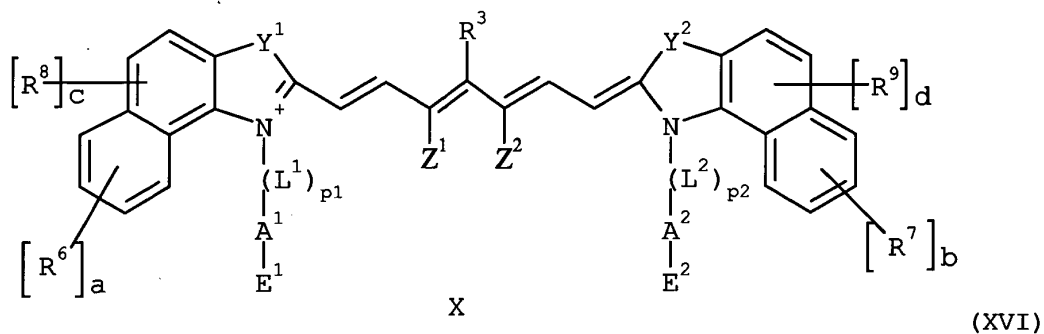
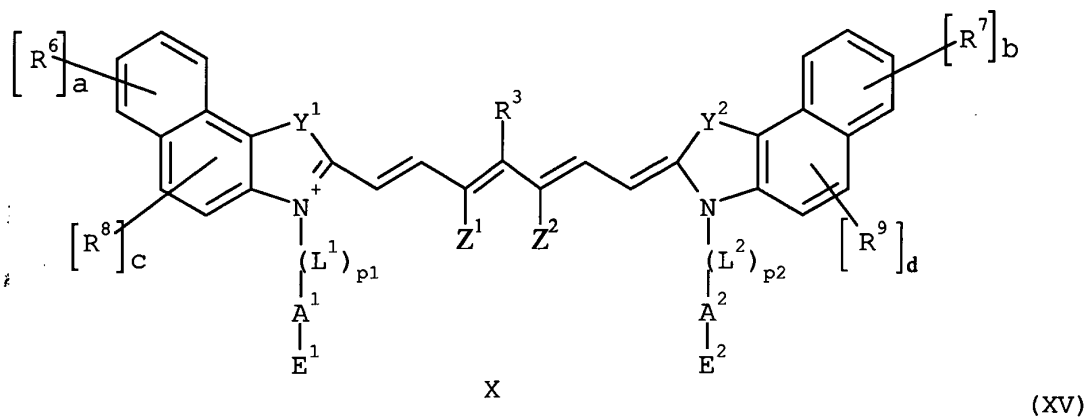
(XIV)

In the above formulae IV to XIV,  $p_1$ ,  $p_2$ ,  $a$ ,  $b$ ,  $-L^1-$ ,  $-L^2-$ ,  
 5  $-A^1-$ ,  $-A^2-$ ,  $-E^1$ ,  $-E^2$ ,  $R^1$ ,  $R^2$ ,  $R^3$ ,  $-Y^1-$ ,  $-Y^2-$ ,  $-Z^1$ ,  $-Z^2$  and  $X$  have the  
 same meaning as in formula III above;  $R^4$  and  $R^5$  have the same  
 meaning as defined above, with the proviso that at least one of the  
 following substituents is represented by a polysiloxane group:  $-A^1-$ ,  
 $-A^2-$ ,  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ ,  $R^5$  or  $X$ .

10

Additional suitable subclasses of IR light absorbing dyes are  
 represented by formulae wherein two adjacent  $R^1$  groups or two  
 adjacent  $R^2$  groups form an optionally substituted phenyl group,  
 annelated with another phenyl group of the dye. So any of the  
 15 formulae III to XIV wherein such phenyl group is present also  
 represent dyes that are suitable for a precursor of the present  
 invention. Two preferred embodiments of such dyes are represented by  
 formulae XV and XVI:

- 19 -



5

wherein

$p_1$ ,  $p_2$ ,  $a$ ,  $b$ ,  $-L^1-$ ,  $-L^2-$ ,  $-E^1$ ,  $-E^2$ ,  $-A^1-$ ,  $-A^2-$ ,  $-Y^1-$ ,  $-Y^2-$ ,  $-Z^1$ ,  $-Z^2$ ,  $-R^3$  and  $X$  have the same meaning as in formula III above;

10  $c$  and  $d$  are independently = 0, 1 or 2;

each  $R^6$  to  $R^9$  independently represent a group as defined for  $R^1$  and  $R^2$  above;

with the proviso that at least one of the following substituents is represented by a polysiloxane group:  $-A^1-$ ,  $-A^2-$ ,  $R^3$ ,  $R^6$  to  $R^9$  or  $X$ .

15

In formulae IV to XIV two adjacent  $R^1$  groups and/or two adjacent  $R^2$  groups can form an optionally substituted annelated phenyl group and such subclasses also are part of the present invention.

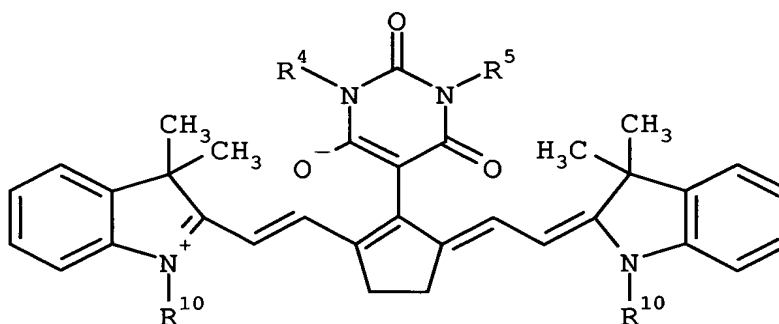
- 20 -

Other preferred subclasses of IR light absorbing dyes are represented by formula III in which the polysiloxane group is covalently linked to the dye and/or comprised in the counter ion X, if a counter ion X is present. The indices/substituents  $p_1$ ,  $p_2$ ,  $-L^1-$ ,  $-L^2-$ ,  $-E^1$ ,  $-E^2$ ,  $-A^1-$ ,  $-A^2-$ ,  $-Y^1-$ ,  $-Y^2-$ ,  $R^1$ ,  $R^2$ ,  $R^3$ ,  $-Z^1$ ,  $-Z^2$  and X have the same meaning as in formula III above.

Additional preferred subclasses of IR light absorbing dyes are represented by formula III in which the polysiloxane group is not covalently linked to the dye but comprised in the counter ion X. The indices/substituents  $p_1$ ,  $p_2$ ,  $-L^1-$ ,  $-L^2-$ ,  $-E^1$ ,  $-E^2$ ,  $-A^1-$ ,  $-A^2-$ ,  $-Y^1-$ ,  $-Y^2-$ ,  $R^1$ ,  $R^2$ ,  $R^3$ ,  $-Z^1$ ,  $-Z^2$  and X have the same meaning as in formula III above with the proviso that:

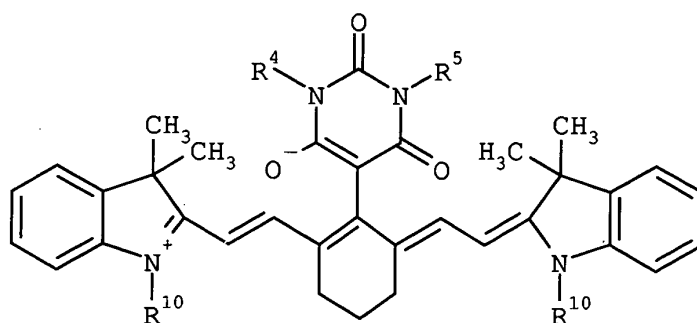
- $-A^1-$ ,  $-A^2-$ ,  $R^1$ ,  $R^2$  and  $R^3$  can not represent a polysiloxane group;
- X contains a polysiloxane group.

Further preferred subclasses of IR light absorbing dyes are represented by the following formulae XVII and XVIII:



(XVII)

- 21 -



(XVIII)

5        wherein

$R^{10}$  represents  $-(CH_2)_e-Si-[OSi(R^{11}R^{12}R^{13})]_3$ ,

$-(CH_2)_e-OCO-(CH_2)_f-[Si(R^{14}R^{15})-O]_g-(CH_2)_h-CH_3$

$R^4$  and  $R^5$  have the same meaning as defined above;

$R^{11}$ ,  $R^{12}$ ,  $R^{13}$ ,  $R^{14}$  and  $R^{15}$  independently represent an optionally

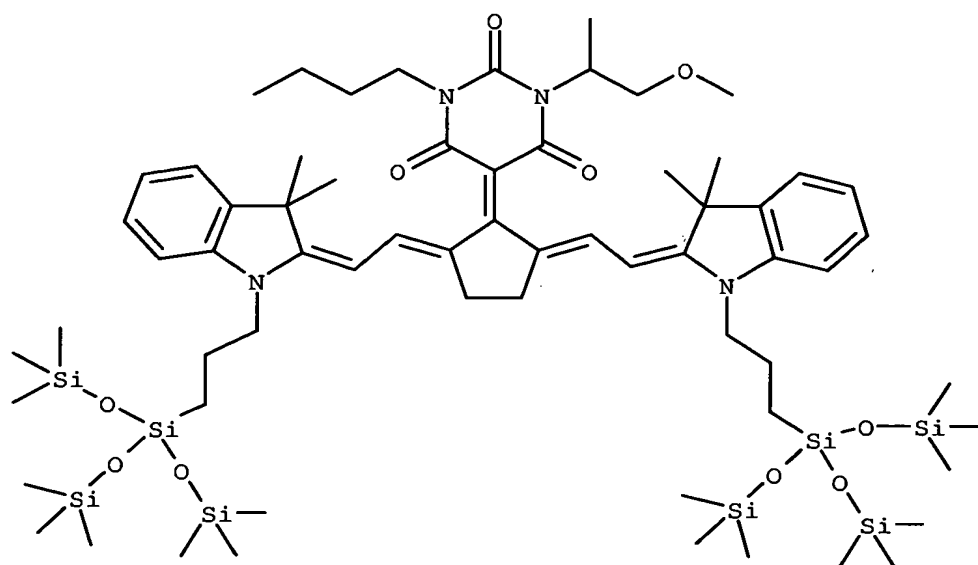
10    substituted alkyl, alkenyl, aryl or aralkyl group;

$e$ ,  $f$ ,  $g$  and  $h$  = 1 or an integer greater than 1.

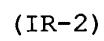
Specific examples of IR absorbing dyes that are preferred for use in this invention include the following compounds:

15

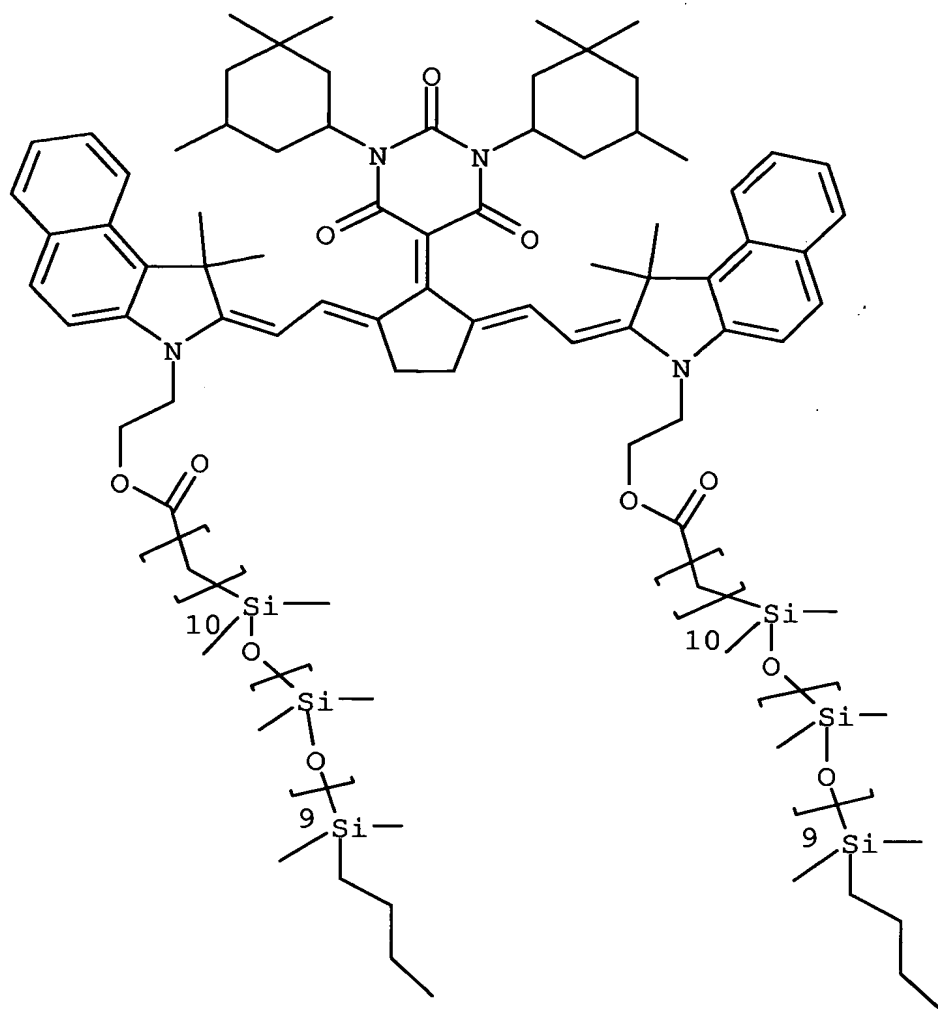
- 22 -



(IR-1)

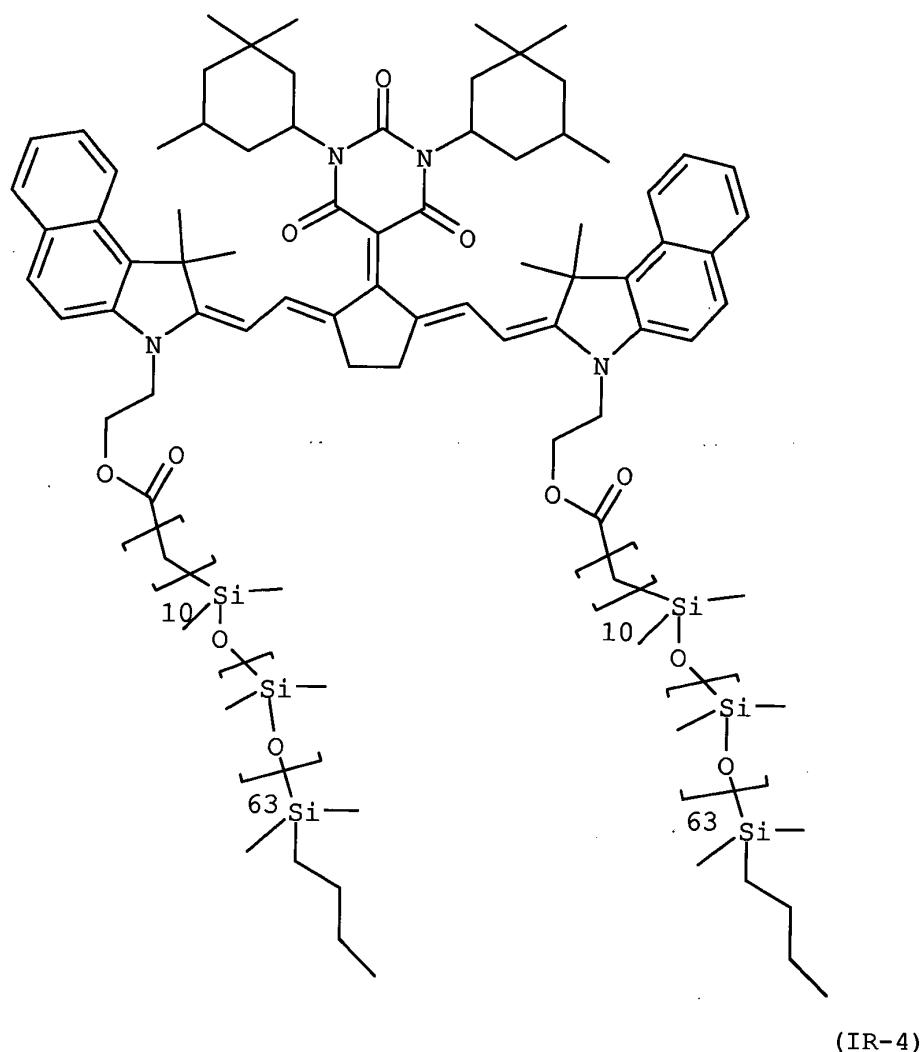


- 24 -



(IR-3)

- 25 -



5       Next to the preferred infrared dyes containing a polysiloxane group, the coating can contain additional infrared absorbing dyes such as cyanine dyes or pigments such as carbon black. Examples of suitable IR absorbers are described in e.g. EP-A 823327, EP-A 978376, EP-A 1029667, EP-A 1053868, EP-A 1093934; WO 97/39894 and WO 10 00/29214.

      The first layer may further contain other ingredients such as additional binders to improve the run length of the plate, colorants, development inhibitors as disclosed in WO 97/39894 and EP-A 823 327 or accelerators such as 3,4,5-trimethoxybenzoic acid. 15 Said colorants are preferably dyes which during development remain



- 26 -

in the coating at non-exposed areas and which are washed out at exposed areas, thereby producing a visible image. Such indicator dyes preferably do not sensitize the coating to visible light.

Suitable development accelerators are described in e.g. EP-A933682. Such compounds act as dissolution promoters because they are capable of reducing the dissolution time of the first layer. For example, cyclic acid anhydrides, phenols or organic acids can be used a linear, branched, cyclic or cross-linked polysiloxane group in order to improve the aqueous developability. Example of the cyclic acid anhydride include phthalic anhydride, tetrahydrophthalic anhydride, hexahydrophthalic anhydride, 3,6-endoxy 4-tertrahydrophthalic anhydride, tetrachlorophthalic anhydride, maleic anhydride, chloromaleic anhydride, alpha-phenylmaleic anhydride, succinic anhydride, alpha-phenylmaleic anhydride, succinic anhydride, and pyromellitic anhydride, as described in U.S. Patent No. 4,115,128. Examples of the phenols include bisphenol A, p-nitrophenol, p-ethoxyphenol, 2,4,4'-trihydroxybenzophenone, 2,3,4-trihydroxy-benzophenone, 4-hydroxyphenone, 4,4',4''-trihydroxy-triphenylmethane, and 4,4',3'',4''-tetrahydroxy-3,5,3',5'-tetramethyltriphenyl-methane, and the like. Examples of the organic acids include sulfonic acids, sulfinic acids, alkylsulfuric acids, phosphonic acids, phosphates, and carboxylic acids, as described in, for example, JP-A Nos. 60-888,942 and 2-96,755. Specific examples of these organic acids include p-toluenesulfonic acid, dodecylbenzenesulfonic acid, p-toluenesulfinic acid, ethylsulfuric acid, phenylphosphonic acid, phenylphosphinic acid, phenyl phosphate, diphenyl phosphate, benzoic acid, isophthalic acid, adipic acid, p-toluic acid, 3,4-dimethylmethoxybenzoic acid, phthalic acid, terephthalic acid, 4-cyclohexene-1,2-dicarboxylic acid, erucic acid, lauric acid, n-undecanoic acid, and ascorbic acid. The amount of the cyclic acid anhydride, phenol, or organic acid contained in the image forming composition is preferably in the range of 0.05 to 20% by weight.

The support has a hydrophilic surface or is provided with a hydrophilic layer. The support may be a sheet-like material such as a plate or it may be a cylindrical element such as a sleeve which

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can be slid around a print cylinder of a printing press. Preferably, the support is a metal support such as aluminum or stainless steel.

A particularly preferred lithographic support is an electrochemically grained and anodized aluminum support. The anodized aluminum support may be treated to improve the hydrophilic properties of its surface. For example, the aluminum support may be silicated by treating its surface with a sodium silicate solution at elevated temperature, e.g. 95°C. Alternatively, a phosphate treatment may be applied which involves treating the aluminum oxide surface with a phosphate solution that may further contain an inorganic fluoride. Further, the aluminum oxide surface may be rinsed with a citric acid or citrate solution. This treatment may be carried out at room temperature or may be carried out at a slightly elevated temperature of about 30 to 50°C. A further interesting treatment involves rinsing the aluminum oxide surface with a bicarbonate solution. Still further, the aluminum oxide surface may be treated with polyvinylphosphonic acid, polyvinylmethylphosphonic acid, phosphoric acid esters of polyvinyl alcohol, polyvinylsulfonic acid, polyvinylbenzenesulfonic acid, sulfuric acid esters of polyvinyl alcohol, and acetals of polyvinyl alcohols formed by reaction with a sulfonated aliphatic aldehyde. It is further evident that one or more of these post treatments may be carried out alone or in combination. More detailed descriptions of these treatments are given in GB-A- 1 084 070, DE-A- 4 423 140, DE-A- 4 417 907, EP-A- 659 909, EP-A- 537 633, DE-A- 4 001 466, EP-A- 292 801, EP-A- 291 760 and US-P- 4 458 005.

According to another embodiment, the support can also be a flexible support, which is provided with a hydrophilic layer, hereinafter called 'base layer'. The flexible support is e.g. paper, plastic film, thin aluminum or a laminate thereof. Preferred examples of plastic film are polyethylene terephthalate film, polyethylene naphthalate film, cellulose acetate film, polystyrene film, polycarbonate film, etc. The plastic film support may be opaque or transparent.

The base layer is preferably a cross-linked hydrophilic layer obtained from a hydrophilic binder cross-linked with a hardening

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agent such as formaldehyde, glyoxal, polyisocyanate or a hydrolyzed tetra-alkylorthosilicate. The latter is particularly preferred. The thickness of the hydrophilic base layer may vary in the range of 0.2 to 25  $\mu\text{m}$  and is preferably 1 to 10  $\mu\text{m}$ .

5       The hydrophilic binder for use in the base layer is e.g. a hydrophilic (co)polymer such as homopolymers and copolymers of vinyl alcohol, acrylamide, methylol acrylamide, methylol methacrylamide, acrylate acid, methacrylate acid, hydroxyethyl acrylate, hydroxyethyl methacrylate or maleic anhydride/vinylmethylether  
10       copolymers. The hydrophilicity of the (co)polymer or (co)polymer mixture used is preferably the same as or higher than the hydrophilicity of polyvinyl acetate hydrolyzed to at least an extent of 60% by weight, preferably 80% by weight.

15       The amount of hardening agent, in particular tetraalkyl orthosilicate, is preferably at least 0.2 parts per part by weight of hydrophilic binder, more preferably between 0.5 and 5 parts by weight, most preferably between 1 parts and 3 parts by weight.

20       The hydrophilic base layer may also contain substances that increase the mechanical strength and the porosity of the layer. For this purpose colloidal silica may be used. The colloidal silica employed may be in the form of any commercially available water dispersion of colloidal silica for example having an average particle size up to 40 nm, e.g. 20 nm. In addition inert particles of larger size than the colloidal silica may be added e.g. silica  
25       prepared according to Stöber as described in J. Colloid and Interface Sci., Vol. 26, 1968, pages 62 to 69 or alumina particles or particles having an average diameter of at least 100 nm which are particles of titanium dioxide or other heavy metal oxides. By incorporating these particles the surface of the hydrophilic base  
30       layer is given a uniform rough texture consisting of microscopic hills and valleys, which serve as storage places for water in background areas.

Particular examples of suitable hydrophilic base layers for use in accordance with the present invention are disclosed in  
35       EP-A- 601 240, GB-P- 1 419 512, FR-P- 2 300 354, US-P- 3 971 660, and US-P- 4 284 705.

- 29 -

It is particularly preferred to use a film support to which an adhesion improving layer, also called support layer, has been provided. Particularly suitable adhesion improving layers for use in accordance with the present invention comprise a hydrophilic binder and colloidal silica as disclosed in EP-A- 619 524, EP-A- 620 502 and EP-A- 619 525. Preferably, the amount of silica in the adhesion improving layer is between  $200 \text{ mg/m}^2$  and  $750 \text{ mg/m}^2$ . Further, the ratio of silica to hydrophilic binder is preferably more than 1 and the surface area of the colloidal silica is preferably at least  $300 \text{ m}^2/\text{gram}$ , more preferably at least  $500 \text{ m}^2/\text{gram}$ .

The printing plate precursor of the present invention can be exposed to light, e.g. by means of LEDs or a laser head. Preferably, one or more lasers or a laser diode are used. The light used for the exposure is infrared light having a wavelength in the range from about 750 to about 1500 nm and preferably a laser such as a semiconductor laser diode, a Nd:YAG or a Nd:YLF laser is used. The required laser power depends on the sensitivity of the image-recording layer, the pixel dwell time of the laser beam, which is determined by the spot diameter (typical value of modern plate-setters at  $1/e^2$  of maximum intensity:  $10\text{-}25 \text{ }\mu\text{m}$ ), the scan speed and the resolution of the exposure apparatus (i.e. the number of addressable pixels per unit of linear distance, often expressed in dots per inch or dpi; typical value:  $1000\text{-}4000 \text{ dpi}$ ).

Two types of laser-exposure apparatuses are commonly used: internal (ITD) and external drum (XTD) plate-setters. ITD plate-setters for thermal plates are typically characterized by a very high scan speed up to  $1500 \text{ m/sec}$  and may require a laser power of several Watts. The Agfa Galileo T (trademark of Agfa Gevaert N.V.) is a typical example of a plate-setter using the ITD-technology. XTD plate-setters operate at a lower scan speed typically from  $0.1 \text{ m/sec}$  to  $20 \text{ m/sec}$  and have a typical laser-output-power per beam from  $20 \text{ mW}$  up to  $500 \text{ mW}$ . The Creo Trendsetter plate-setter family (trademark of Creo) and the Agfa Excalibur plate-setter family (trademark of Agfa Gevaert N.V.) both make use of the XTD-technology.

- 30 -

The known plate-setters can be used as an off-press exposure apparatus, which offers the benefit of reduced press down-time. XTD plate-setter configurations can also be used for on-press exposure, offering the benefit of immediate registration in a multi-color press. More technical details of on-press exposure apparatuses are described in e.g. US 5,174,205 and US 5,163,368.

In the development step, the non-exposed areas of the coating are removed by immersion in an aqueous alkaline developer, which may be combined with mechanical rubbing, e.g. by a rotating brush. The development step may be followed by a drying step, a rinsing step, a gumming step, and/or a post-baking step.

The printing plate thus obtained can be used for conventional, so-called wet offset printing, in which ink and an aqueous dampening liquid are supplied to the plate. Another suitable printing method uses so-called single-fluid ink without a dampening liquid. Single-fluid inks which are suitable for use in the method of the present invention have been described in US 4,045,232 and US 4,981,517. In a most preferred embodiment, the single-fluid ink comprises an ink phase, also called the hydrophobic or oleophilic phase, and a polyol phase as described in WO 00/32705.

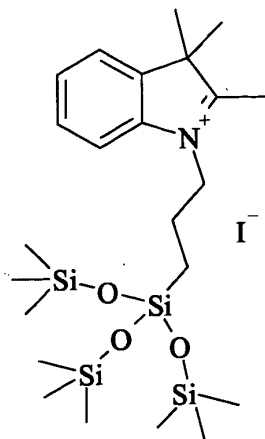
## Examples

### SYNTHESIS OF THE IR LIGHT ABSORBING DYES.

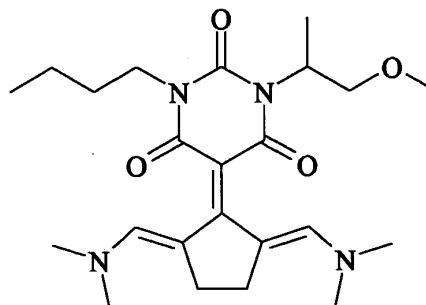
#### Synthesis of IR-1

Product 1 + product 2 → IR-1

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Product 1

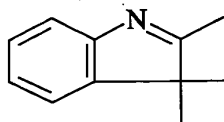


Product 2

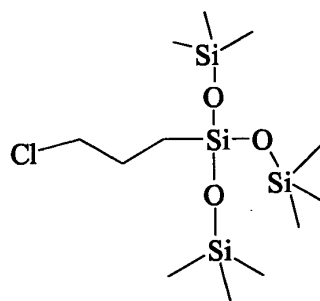
5 2 mol of product 1 and 1 mol of product 2 are dissolved in 7.5 l acetonitrile and stirred at 70°C for 90 minutes. After this period, 17 l acetonitrile is added and the reaction mixture is cooled to room temperature. IR-1 is filtered and dried. (Yield = 46%)

10 Synthesis of intermediate product 1

Product 3 + product 4 → product 1



Product 3



Product 4

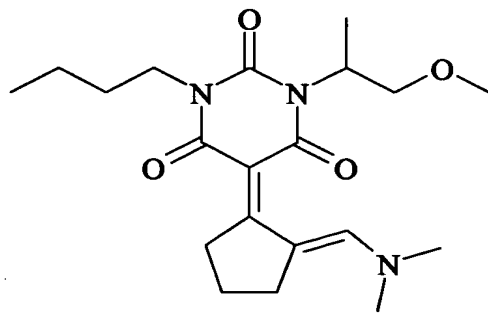
15 1 mol of product 4, 1.4 mol of product 3 and 1 mol of potassium Iodide are added to 0.3 l sulfolane. The reaction mixture is kept for 6 hours at 150°C; subsequently the mixture is cooled to room temperature and 2 l methyl-tertiary-butylether is added. After

- 32 -

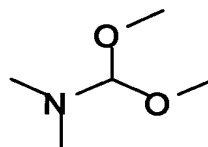
extraction with 10 l water, 2 l butylacetate is added to the organic layer. The reaction mixture is stirred for 30 minutes and product 1 is filtered and dried. (yield = 21%)

5 Synthesis of intermediate product 2

Product 5 + product 6 → product 2



Product 5



Product 6

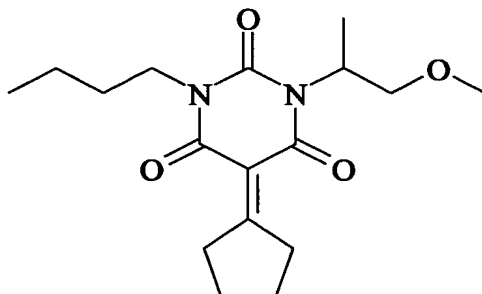
10

1 mol of product 5 and 3.5 mol of product 6 are added to 0.3 l ethylacetate and the mixture is kept at 65°C for 30 minutes. 0.75 l methyl-tertair-butylether and 0.7 l hexane are added and product 2 is filtered and dried. (yield = 55%)

15

Synthesis of intermediate product 5

20 Product 6 + product 7 → product 5



Product 7

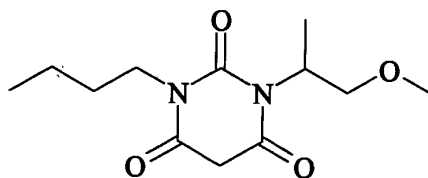
- 33 -

To 1 mol of product 7 in 0.8 l toluene and 1 mol of acetic acid, 1,13 mol of product 6 is added at room temperature. Subsequently 1,5 l hexane is added and the reaction mixture is stirred for 1 hour at room temperature. Product 5 is filtered and dried. (yield = 79%)

5

Synthesis of intermediate product 7

Product 8 + cyclopentanone  $\rightarrow$  product 7



Product 8

10

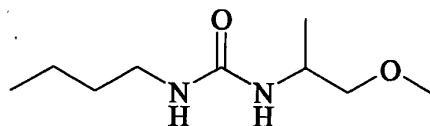
1 mol of product 8, 1.1 mol cyclopentanone, 0.07 mol ammonium acetate and 0.15 l methanol are mixed and refluxed for 4.5 hours. After that period, 1 l toluene is added and methanol and water are separated by distillation. The reaction solution obtained is used for synthesis

15 of intermediate product 5.

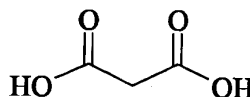
Synthesis of intermediate product 8

Product 9 + product 10  $\rightarrow$  product 8

20



Product 9



Product 10

1 mol of product 9, 1 mol of product 10, 2 mol of acetic acid anhydride and 0.15 l acetic acid are reacted at 90 °C for 2.5 hours. Acetic acid is removed by vacuum distillation and product 8 is obtained.

25

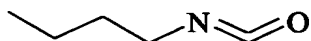


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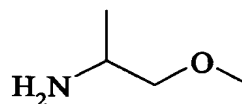
Synthesis of intermediate product 9

Product 11 + product 12 → product 9

5



Product 11



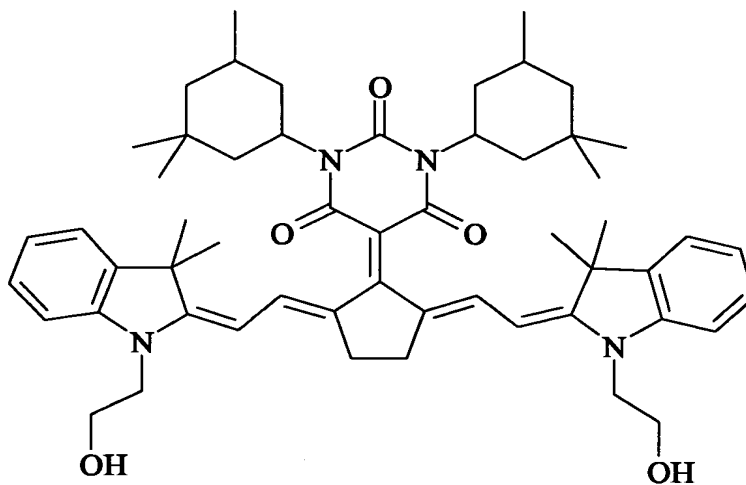
Product 12

10 1 mol of product 11 is dissolved in 0.07 l toluene and 1 mol of product 12 is added at 50°C. The solvent is evaporated and product 9 is obtained.

Synthesis of IR-2

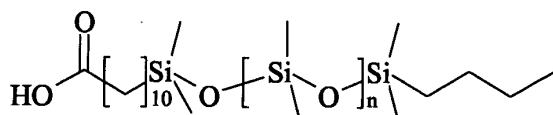
15

Product 13 + product 14 + product 18 → IR-2



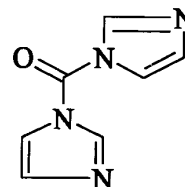
Product 13

- 35 -



MW 1000; n = on average 8 or 9

Product 14



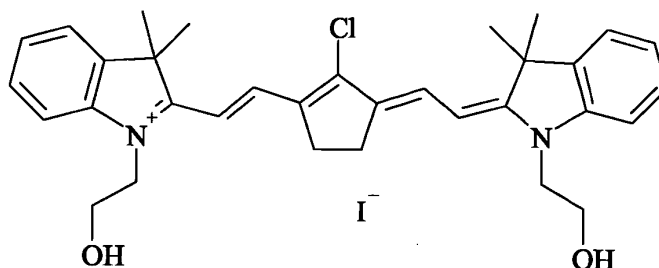
Product 18

5 4 mol of product 14 and 2 mol of product 18 are stirred in 10 l  
 toluene for 10 minutes at 80 °C. Subsequently, 1 mol of product 13  
 and 40 l toluene are added. The reaction mixture is refluxed for 45  
 minutes. The organic layer is evaporated and the remaining product  
 is dissolved in a mixture of 5 l toluene and 5 l methanol. 40 l  
 10 methanol is added and IR-2 is filtered and dried. (yield = 50%)

Synthesis of intermediate product 13

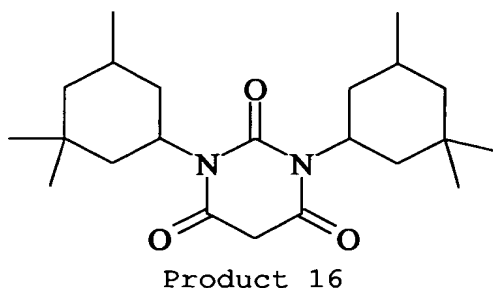
Product 15 + product 16 → product 13

15



Product 15

- 36 -

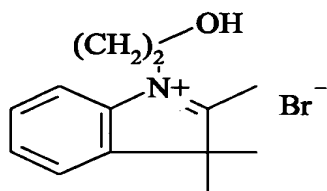


1 mol of product 15, 1.1 mol of product 16 and 1.5 mol of potassium acetate are dissolved in 4 l methylene chloride and 6 l methanol.

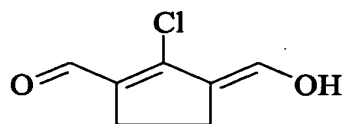
5 The mixture is stirred for one hour at room temperature. Product 13 is filtered and dried. (yield = 30%)

Synthesis of intermediate product 15

10 Product 19 + product 20 → product 15



Product 19



Product 20

A mixture of 2 mol of product 19, 1 mol of product 20, 4 l methanol, 15 0.5 l triethyl amine and 0.4 l acetic acid anhydride is stirred at 60°C for 2 hours. 200 g NaI and 4 l methyl-tertiary-butylether are added. Product 15 is filtered and dried. (yield = 25%)

Synthesis of intermediate product 19

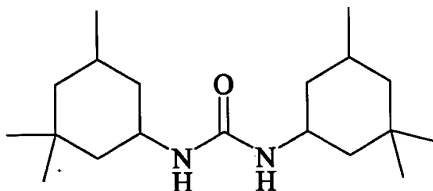
20

1 mol of product 3 and 0.1 mol 2-bromoethanol are dissolved in 0,2 l sulfolane and are kept at 100°C for 4 hours. Adding 1 l acetone precipitates the product. Product 19 is filtered and dried. (yield = 82%)

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Synthesis of intermediate product 16

Product 29 + product 10 → product 16



5

Product 29

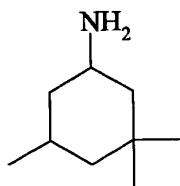
A mixture of 1 mol of product 29, 0.5 l toluene, 0.18 l acetic acid, 1.05 mol of product 10 and 0.25 l acetic acid anhydride are kept at 100°C for 2.5 hours. The reaction mixture is poured into methanol/water and product 16 is filtered and dried. (yield = 44%)

10

Synthesis of intermediate product 29

Product 17 + product 18 → product 29

15



Product 17

2 mol of product 17 and 1 mol of product 18 are stirred at 90°C for 30 minutes. The reaction mixture is poured into methanol/water and product 29 is filtered and dried. (yield = 28%)

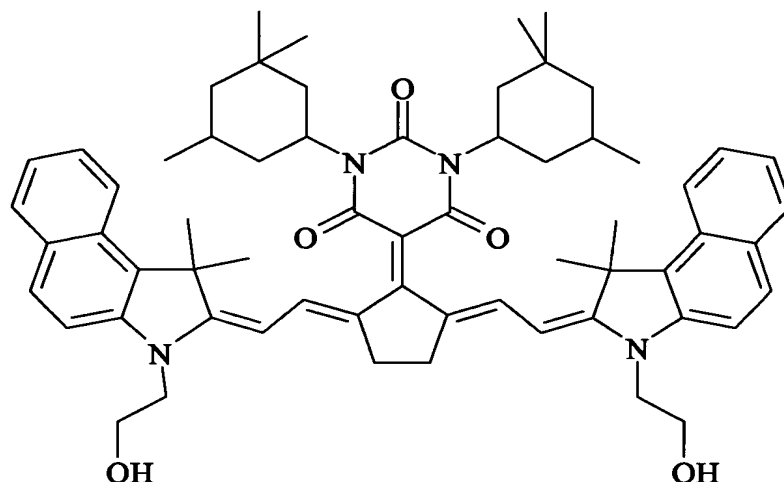
20

Synthesis of IR-3

Product 14 + product 18 + product 24 → IR-3

25

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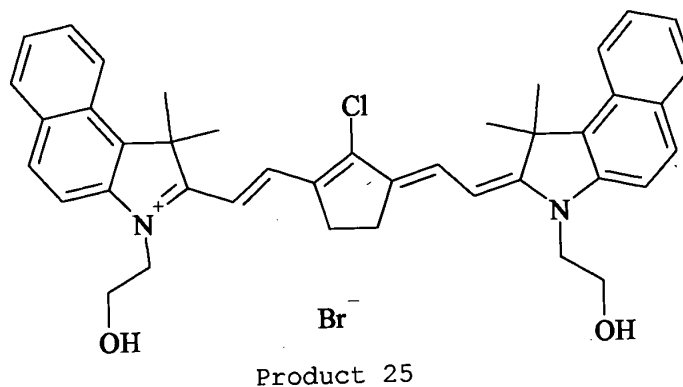
Product 24

5 4 mol of product 14, 10 l toluene and 2 mol of product 18 are kept  
at 80°C for 10 minutes. Subsequently 1 mol of product 24 and 50 l  
toluene are added and the mixture is refluxed for 45 minutes. The  
organic layer is evaporated and 5 l toluene and 5 l methanol is  
added. After addition of 40 l methanol, IR-3 is filtered and dried.  
10 (yield = 75 %)

Synthesis of intermediate product 24

Product 25 + product 16 → product 24

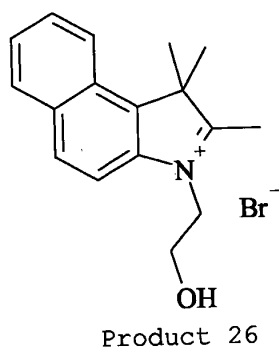
- 39 -



8 l methanol, 4 l methylene chloride, 1 mol of product 25, 1 mol of  
5 product 16 and 1.5 mol of potassium acetate are stirred at room  
temperature for 3 hours. Adding methyl ethyl ketone precipitates the  
product. Product 19 is filtered, washed with water and dried.  
(yield = 67%)

10 Synthesis of intermediate product 25

Product 20 + product 26 → product 25

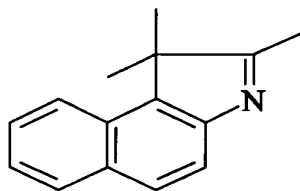


15 2 l methanol, 0.25 l triethylamine, 2 mol of product 26, 1 mol of  
product 20 and 0.2 l acetic acid anhydride are stirred at 60°C for 2  
hours. Product 25 is filtered and dried. (yield = 40%)

Synthesis of intermediate product 26

- 40 -

2-bromoethanol + product 27 → product 26



Product 27

5

1 mol of product 27 and 1.1 mol of 2-bromoethanol are dissolved in 2 l sulfolane and stirred at 100°C for 4 hours. Adding acetone precipitates the product. Product 26 is filtered and dried. (yield = 85%)

10

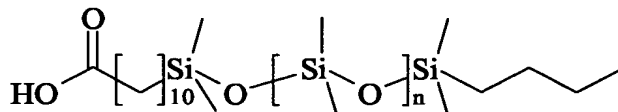
Synthesis of intermediate product 20

10 mol of dimethylformamide, 3 mol phosphorylchloride are heated to 65°C. Then 1 mol of cyclopentanone is dropped to this mixture and the mixture is stirred for 1 hour at 60°C. The reaction mixture is poured into 2 l water containing 7 mol sodium acetate. Product 20 is filtered and dried. (yield = 60%)

Synthesis of IR-4

20

Product 28 + product 18 + product 24 → IR-4



MW van 5000; n = on average 63

25

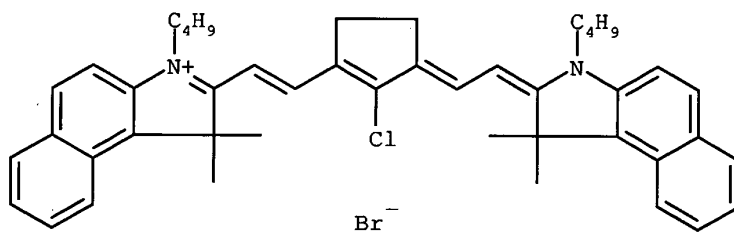
Product 28

- 41 -

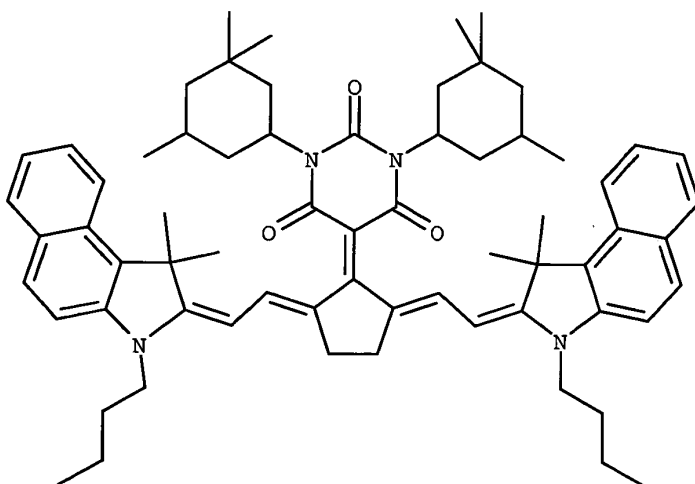
4 mol of product 28 and 2 mol of product 18 are added to 50 l toluene and the mixture is stirred for 10 minutes at 80°C. 1 mol of product 24 and 50 l toluene are added and the mixture is refluxed for 45 minutes. The organic layer is evaporated and 500 l hexane is added. The mixture is filtered and the filtrate is evaporated. IR-4 is obtained. (yield = 11%)

### Synthesis of IR -COMP1

Product 30 + product 16 → IR -COMP1



Product 30



IR-COMP1

1 mol of product 30 and 1 mol of product 16 are dissolved in 15 l methanol and 7 l methylene chloride. 2 mol potassium acetate is

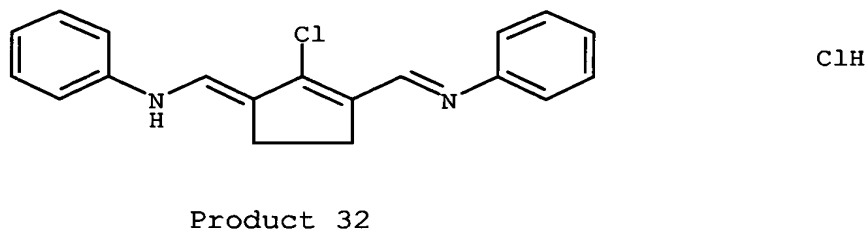
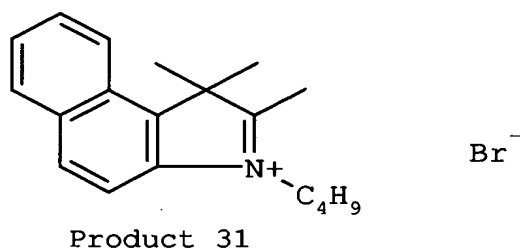


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added and the mixture is stirred for 3 hours at 40°C. After evaporation of methylene chloride, COMP-1 is filtered and dried. (Yield 46%)

5 Synthesis of intermediate product 30

Product 31 + product 32 → product 30



10

1 mol of product 32 and 2 mol of product 31 are added to 2 l acetic acid anhydride and 2.2 mol triethylamine. The mixture is stirred for 2 hours at room temperature. After stirring 40 l ethyl acetate is added and product 30 is filtered and dried. (Yield = 30%)

Synthesis of intermediate product 31

20 Product 27 + n-butyl bromide → product 31

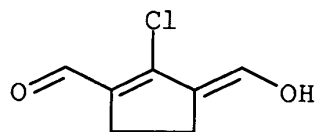
1 mol of product 27 and 2 mol n-butyl bromide are stirred in 0.5 l sulfolane for four hours at 100°C. Product 31 is filtered, washed with ethyl acetate and dried. (Yield: 61%)

25

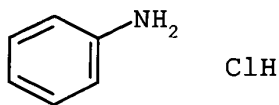
Synthesis of intermediate product 32

- 43 -

Product 33 + product 34 → product 32



Product 33



Product 34

5

1 mol of product 33 and 2 mol of product 34 are dissolved in 5 l acetone and 2 l water and stirred for one hour at room temperature. Product 32 is filtered and dried. (Yield = 80%)

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## Examples 1 and 2

These examples demonstrate the use of infrared dyes which contain a polysiloxane group in a coating according to the present invention  
5 in which the water repellent-compound is a polysiloxane containing polymer.

## Preparation of the support

10 A 0.30 mm thick aluminum foil was degreased by immersing the foil in an aqueous solution containing 5 g/l of sodium hydroxide at 50°C and rinsed with demineralized water. The foil was then electrochemically grained using an alternating current in an aqueous solution containing 4 g/l of hydrochloric acid, 4 g/l of hydroboric  
15 acid and 5 g/l of aluminum ions at a temperature of 35°C and a current density of 1200 A/m<sup>2</sup> to form a surface topography with an average center-line roughness Ra of 0.5 µm.

After rinsing with demineralized water the aluminum foil was then etched with an aqueous solution containing 300 g/l of sulfuric  
20 acid at 60°C for 180 seconds and rinsed with demineralized water at 25°C for 30 seconds.

The foil was subsequently subjected to anodic oxidation in an aqueous solution containing 200 g/l of sulfuric acid at a temperature of 45°C, a voltage of about 10 V and a current density  
25 of 150 A/m<sup>2</sup> for about 300 seconds to form an anodic oxidation film of 3.00 g/m<sup>2</sup> of Al<sub>2</sub>O<sub>3</sub> then washed with demineralized water, post-treated with a solution containing polyvinylphosphonic acid and subsequently with a solution containing aluminum trichloride, rinsed with demineralized water at 20°C during 120 seconds and dried.

30

## Plate precursor materials

The solutions in Table 1 below were coated on the above support at a wet coating thickness of 20 µm and dried for 1 minute at 130°C.  
35 The materials were then imaged on a Creo Trendsetter 3244 (830 nm) using different energy density settings (intensity at the image plane) in the range from 80 mJ/cm<sup>2</sup> up to 200 mJ/cm<sup>2</sup>. The plates were

- 45 -

then processed by dipping for 60 seconds in a development tank filled with DP300 (aqueous alkaline developer commercially available from Agfa) at a temperature of 25°C. The IR-sensitivity of the different compositions corresponds to the minimum energy density setting that is required to obtain a 50% reduction of the light absorption of the coating, measured on the developed plate at the wavelength maximum of the contrast dye, in areas which have been exposed with a dot area of a 50% screen (@200 lpi).

The results in Table 1 indicate that the coating which comprises an infrared dye containing a polysiloxane group provide a higher sensitivity than the comparative coating in which the corresponding infrared dye does not contain a polysiloxane group.

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Table 1

Ingredients (g)	Ex. 1 (inv.)	Ex. 2 (comp.)
Tetrahydrofuran	25.76	25.76
Alnovol SPN452*	6.59	6.59
Methoxypropanol	16.98	16.98
IR-2	0.1	-
IR-COMP1	-	0.1
Flexo blau 630**	0.03	0.03
Tego Glide 410 ***	0.14	0.14
Tego Wet 265 ****	0.05	0.05
2,3,4- trimethoxy- benzoic acid	0.36	0.36
IR sensitivity (mJ/cm <sup>2</sup> )	120	> 200

\*Alnovol SPN452 is a 40.5% solution in Dowanol PM (commercially available from Clariant)

\*\*Triaryl methane dye commercially available from BASF

5 \*\*\*a polymer containing polysiloxane commercially available from Tego Chemie, Essen, Germany; 10 wt.% solution in methoxypropanol.

\*\*\*\*a polymer containing polysiloxane commercially available from Tego Chemie, Essen, Germany; 10 wt.% solution in methoxypropanol.

10

#### EXAMPLES 3 AND 4

These examples demonstrate the use of an infrared dye which contains a polysiloxane group in a coating according to the present invention  
15 in which the water repellent-compound is a perfluoroalkyl containing polymer.

Preparation of the support

The support was prepared as described in examples 1 and 2.

#### Plate precursor materials

5

The solutions in Table 2 below were coated on the above support at a wet coating thickness of 20  $\mu\text{m}$  and dries for 1 minute at 130°C. The materials were then imaged on a Creo Trendsetter 3244 (830 nm) using different energy density settings (intensity at the image plane) in the range from 80  $\text{mJ}/\text{cm}^2$  up to 200  $\text{mJ}/\text{cm}^2$ . The plates were then processed by dipping for 60 seconds in a development tank filled with DP300 (aqueous alkaline developer commercially available from Agfa) at a temperature of 25°C. The IR-sensitivity of the different compositions corresponds to the minimum energy density setting that is required to obtain a 50% reduction of the light absorption of the coating, measured on the developed plate at the wavelength maximum of the contrast dye, in areas which have been exposed with a dot area of a 50% screen (@200 lpi).

15

The results in Table 2 indicate that the coating which comprises an infrared dye containing a polysiloxane group provides a higher sensitivity than the comparative coating in which the corresponding infrared dye does not contain a polysiloxane group.

20

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Table 2

Ingredients (g)	Ex. 3 (inv.)	Ex. 4 (comp.)
Tetrahydrofuran	25.76	25.76
Alnovol SPN452*	6.59	6.59
Methoxypropanol	16.98	16.98
IR-2	0.1	-
IR-COMP1	-	0.1
Flexo blau 630**	0.03	0.03
Fluorad FC431	0.14	0.14
***		
2,3,4-trimethoxy- benzoic acid	0.36	0.36
IR sensitivity (mJ/cm <sup>2</sup> )	100	> 200

\*Alnovol SPN452 is a 40.5% solution in Dowanol PM (commercially available from Clariant)

\*\*Triaryl methane dye commercially available from BASF

5 \*\*\*a polymer containing perfluoroalkyl commercially available from 3M; 10 wt.% solution in methoxypropanol.

100



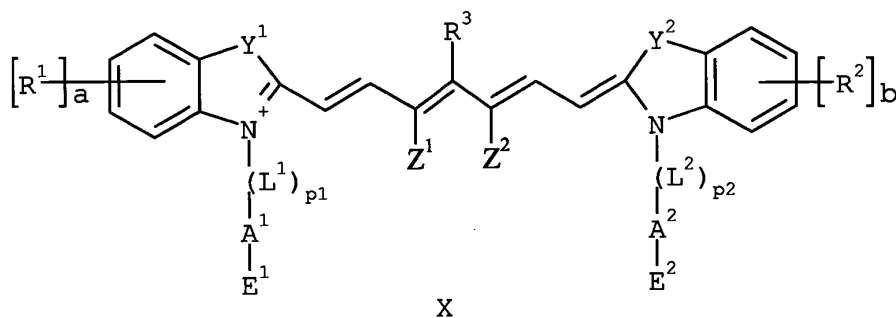
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**[CLAIMS]**

1. A heat-sensitive lithographic printing plate precursor comprising a support having a hydrophilic surface and a coating provided on the hydrophilic surface, said coating comprising in the order  
5 given a first layer containing an oleophilic resin soluble in an aqueous alkaline developer and a second layer capable of preventing penetration of the developer at unexposed areas, said second layer comprising a water-repellent compound selected from the group consisting of
  - 10 - a polymer comprising siloxane and/or perfluoroalkyl monomeric units, and
  - a block- or graft-copolymer comprising a poly- or oligo(alkylene oxide) and a polymer or oligomer comprising siloxane and/ or perfluoroalkyl monomeric units, and
- 15 wherein the alkali-solubility of said coating increases on heating and said coating comprises an infrared light absorbing dye characterised in that the infrared absorbing dye comprises at least one polysiloxane group.
2. A lithographic printing plate precursor according to claim 1  
20 wherein the polysiloxane group is covalently linked to the infrared light absorbing dye.
3. A lithographic printing plate precursor according to claim 1 wherein the infrared light absorbing dye carries a charge and the polysiloxane group is comprised in a counter ion.
- 25 4. A lithographic printing plate precursor according to claim 1 wherein at least one polysiloxane group is covalently linked to the infrared light absorbing dye and at least one polysiloxane is comprised in a counter ion.

- 50 -

5. A lithographic printing plate precursor according to any of the preceding claims wherein the infrared light absorbing dye is selected from the group consisting of squarylium, croconate, merocyanine, cyanine, indolizine, pyrilium and metal dithioline dyes.
6. A lithographic printing plate precursor according to any of the preceding claims wherein the amount of the water-repellent compound in the coating is between 0.5 and 15 mg/m<sup>2</sup>.
7. A lithographic printing plate precursor according to any of the preceding claims wherein the second layer of the coating consists essentially of the water-repellent compound and the infrared light absorbing dye.
8. A lithographic printing plate precursor according to any of the preceding claims wherein the infrared light absorbing dye corresponds to the following formula:



20 wherein

a and b each independently represent an integer from 0 to 4;

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$-L^1-$  and  $-L^2-$  independently represent a divalent linking group;

$-E^1$  and  $-E^2$  independently represent a neutral, anionic or cationic terminal group selected from

alkyl,  $-OH$ ,  $-H$ ,  $-Cl$ ,  $-Br$ ,  $-F$ ,  $-SiR^aR^bR^c$  (neutral groups);

5  $-SO_3^-$ ,  $-SO_4^-$ ,  $-PO_3^{2-}$ ,  $-PO_4^{2-}$ ,  $-COO^-$  (anionic groups)

$-[NR^dR^eR^f]^+$  (cationic group);

$R^a$ ,  $R^b$  and  $R^c$  independently represent an optionally substituted alkyl, alkenyl, aryl group or aralkyl group

10  $R^d$ ,  $R^e$  and  $R^f$  independently represent a hydrogen atom or an optionally substituted alkyl, alkenyl, aryl group or aralkyl group;

$-A^1-$  and  $-A^2-$  independently represent  $-[Si(R^gR^h)-O]_m-$ ,  $-C_vF_{2v}-$ ,  $-[(CF_2)_2O]_w-$ , an optionally substituted alkyl, alkenyl, aryl or aralkyl group;

15  $R^g$  and  $R^h$  independently represent an optionally substituted alkyl, alkenyl, aryl or aralkyl group,  $-[O-Si(R^aR^b)]_q-E^1$  or  $-[O-Si(R^aR^b)]_q-E^2$ ;

with  $p_1$  and  $p_2 = 0$  or  $1$ ;

with  $t$ ,  $q$  and  $m = 1$  or an integer greater than  $1$ ;

with  $v$  and  $w = 2$  or an integer greater than  $2$ ;

20

$-Y^1-$  and  $-Y^2-$  independently represent one or two non-metallic atoms, which may be substituted, necessary to complete a 5- or 6-membered heterocyclic ring;

25  $-Z^1$  and  $-Z^2$  each independently represent a hydrogen atom, an alkyl group or the necessary atoms to complete a 5- or 6-membered ring;

30  $R^1$  and  $R^2$  each independently represent a hydrogen atom, an optionally substituted alkyl, alkenyl, aryl or aralkyl group or a group selected from a halogen atom,  $-NO_2$ ,  $-O-R^i$ ,  $-CO-R^i$ ,  $-CO-O-R^i$ ,  $-O-CO-R^i$ ,  $-CO-NR^iR^j$ ,  $-NR^iR^j$ ,  $-NR^i-CO-R^j$ ,  $-NR^i-CO-O-R^j$ ,  $-NR^i-CO-NR^jR^k$ ,  $-SR^i$ ,  $-SO-R^i$ ,  $-SO_2-R^i$ ,  $-SO_2-O-R^i$ ,  $-SO_2NR^iR^j$ , a perfluoroalkyl group

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or a polysiloxane group; each of said groups may optionally comprise a terminal group E defined above as  $-E^1$  and  $-E^2$  and/or wherein two adjacent groups selected from  $R^1$ ,  $R^2$ ,  $-Y^1-$  and  $-Y^2-$  together form an optionally substituted 5- or 6- membered ring;

5  $R^i$ ,  $R^j$  and  $R^k$  independently represent a hydrogen or an optionally substituted alkyl, alkenyl, aryl or aralkyl group;

$R^3$  represents a substituent selected from a hydrogen, a halogen atom, an alkyl, alkenyl, aryl or aralkyl group, a perfluoroalkyl group, a polysiloxane group, an amino group, a thioalkyl group, a thioaryl group, an aryloxy group, an alkoxy group, a barbituric group or a thiobarbituric group, each of said groups being optionally substituted;

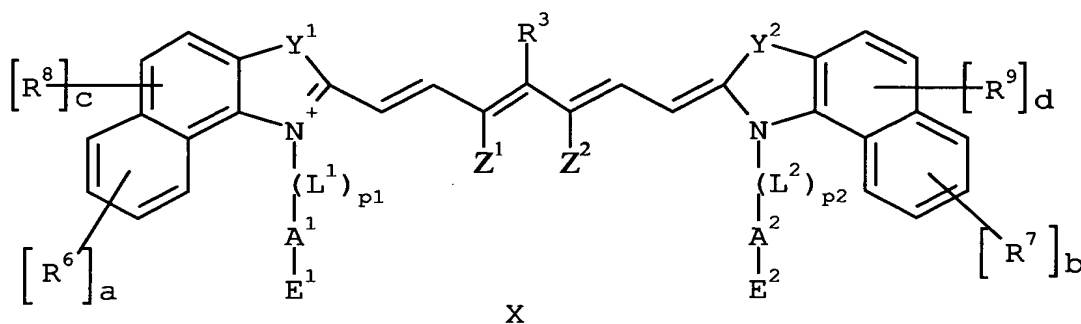
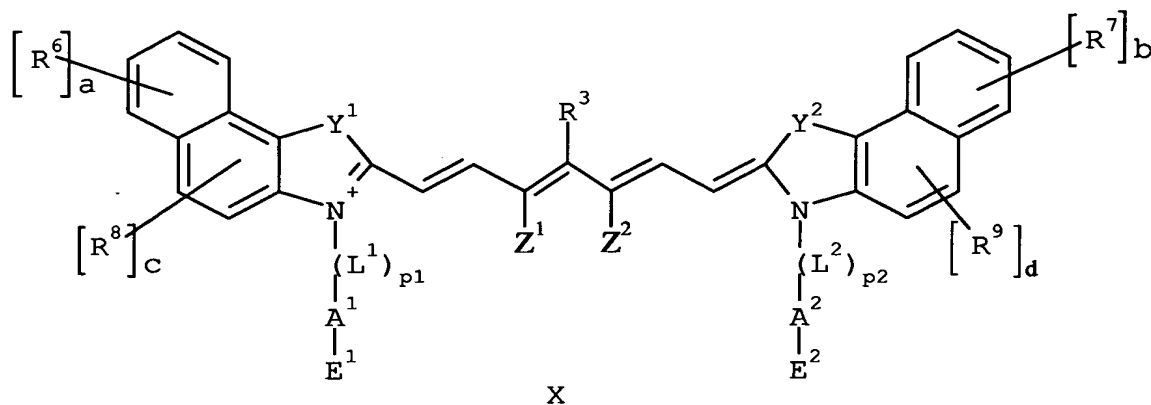
X represents one or more optional counter ions having a total charge opposite to the dye and wherein X optionally comprises a polysiloxane group;

with the proviso that at least one of the following substituents contains a polysiloxane group:  $R^1$ ,  $R^2$ ,  $R^3$ ,  $-A^1-$ ,  $-A^2-$  or X.

9. A printing plate precursor according to claim 8 wherein Z is  $-(CH_2)_2-$  or  $-(CH_2)_3-$ .

20 10. A lithographic printing plate precursor according to claims 8 or 9 wherein the IR light absorbing dye corresponds to the following formulae:

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wherein  $p_1$ ,  $p_2$ ,  $a$ ,  $b$ ,  $-L^1-$ ,  $-L^2-$ ,  $-E^1$ ,  $-E^2$ ,  $-A^1-$ ,  $-A^2$ ,  $-Y^1-$ ,  $-Y^2$ ,  $-Z^1$ ,  $-Z^2$ ,  $R^3$  and X have the same meaning as defined in claim 8;

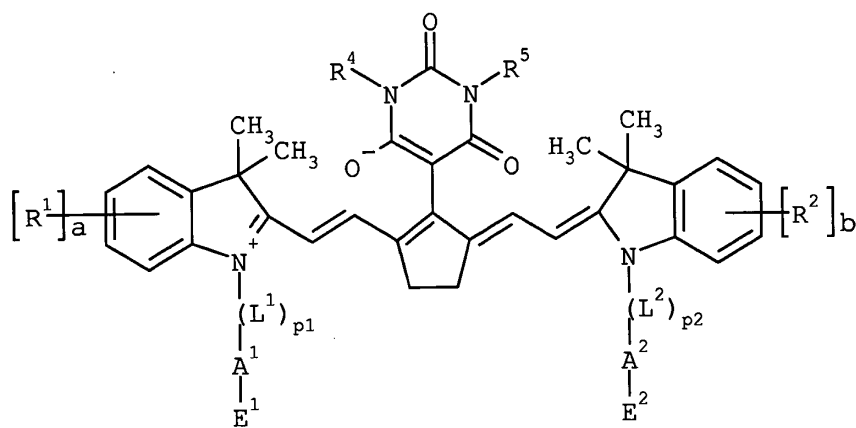
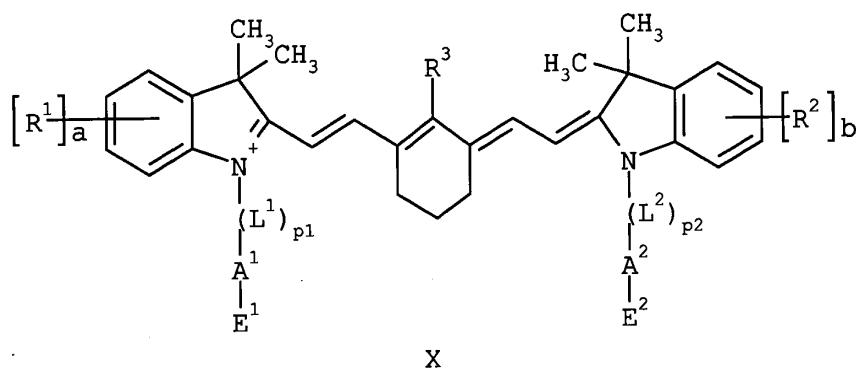
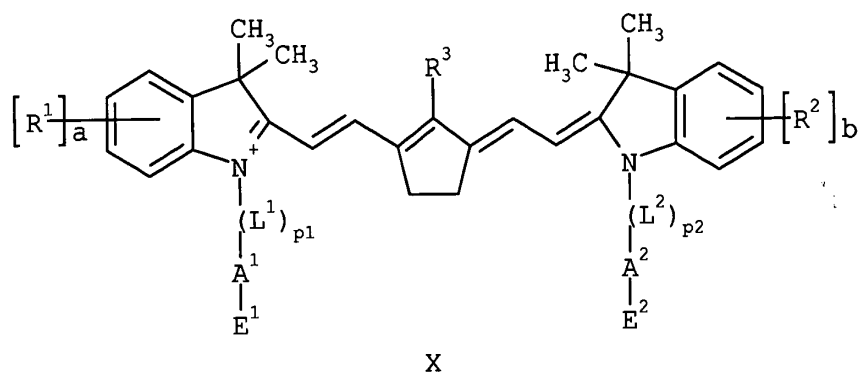
5 c and d are independently = 0, 1 or 2;

each  $R^6$  to  $R^9$  independently represent a group as defined for  $R^1$  and  $R^2$  in claim 8,

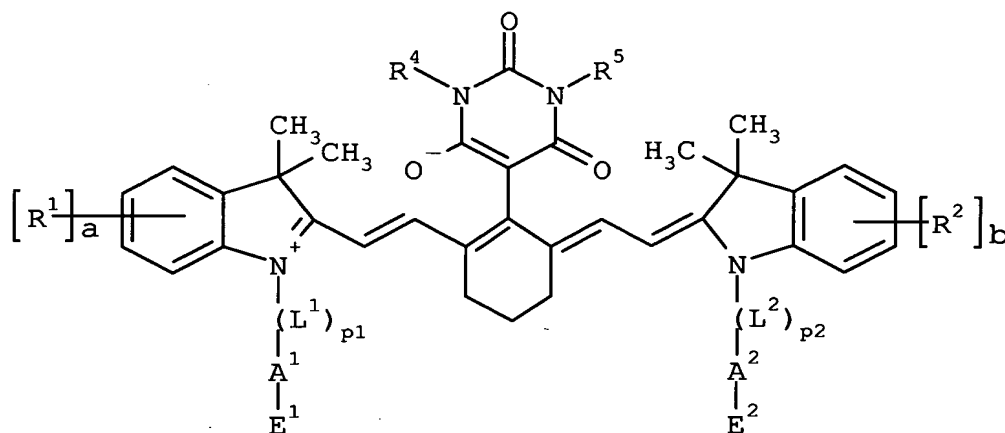
with the proviso that at least one of the following substituents contains a polysiloxane group:  $R^3$ ,  $R^6$  to  $R^9$ ,  $-A^1-$ ,  $-A^2$  or X.

- 10 11. A lithographic printing plate precursor according to any of the claims 8 to 10 wherein the IR light absorbing dye corresponds to the following formulae:

- 54 -



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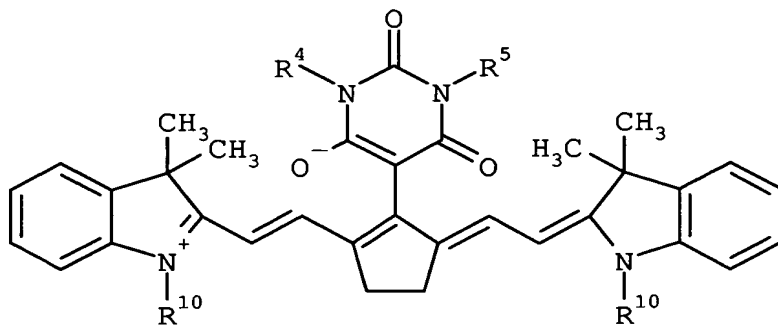
wherein

$p_1$ ,  $p_2$ ,  $a$ ,  $b$ ,  $-L^1-$ ,  $-L^2-$ ,  $-E^1$ ,  $-E^2$ ,  $-A^1-$ ,  $-A^2$ ,  $R^1$ ,  $R^2$ ,

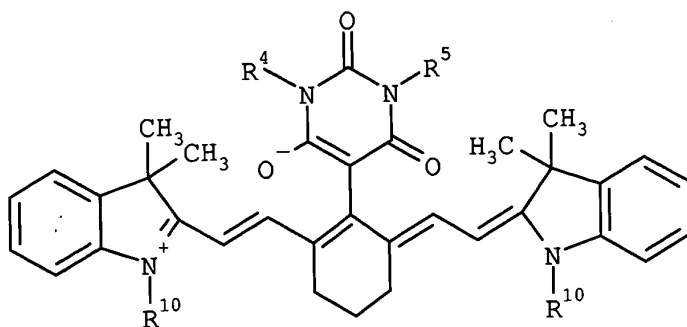
$R^3$  and  $X$  have the same meaning as defined in claim 8;

- 5  $R^4$  and  $R^5$  independently represent an optionally substituted alkyl, alkenyl, cycloalkyl, aryl or aralkyl group, a perfluoroalkyl group or a polysiloxane group; each of said groups may optionally comprise a terminal group  $E$  defined in claim 8 as  $-E^1$  and  $-E^2$ ; with the proviso that at least one of the following substituents contains a
- 10 polysiloxane group:  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^4$ ,  $R^5$ ,  $-A^1-$ ,  $-A^2$  or  $X$ .

12. A lithographic printing plate precursor according to any of the claims 8 to 11 wherein the IR light absorbing dye corresponds to the following formulae:



- 56 -



wherein

$R^{10}$  represents  $-(CH_2)_e-Si-[OSi(R^{11}R^{12}R^{13})]_3$ ,  
 5  $-(CH_2)_e-OCO-(CH_2)_f-[Si(R^{14}R^{15})-O]_g-(CH_2)_h-CH_3$

$R^4$  and  $R^5$  have the same meaning as defined in claim 11;

$R^{11}$ ,  $R^{12}$ ,  $R^{13}$ ,  $R^{14}$  and  $R^{15}$  independently represent an optionally substituted alkyl, alkenyl, aryl or aralkyl group;

$e$ ,  $f$ ,  $g$  and  $h = 1$  or an integer greater than 1.

- 10 13. A lithographic printing plate precursor according to any of the preceding claims wherein the polysiloxane group may be linear, branched, cyclic or complex cross-linked.